

On Triple Systems with Independent Neighbourhoods

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For Béla Bollobás on his 60th birthday

Let \mathcal{H} be a 3-uniform hypergraph on an n -element vertex set V . The neighbourhood of $a, b \in V$ is $N(ab) := \{x : abx \in E(\mathcal{H})\}$. Such a 3-graph has independent neighbourhoods if no $N(ab)$ contains an edge of \mathcal{H} . This is equivalent to \mathcal{H} not containing a copy of $\mathbb{F}_{3,2} := \{abx, aby, abz, xyz\}$.

In this paper we prove an analogue of the Andrásfai–Erdős–Sós theorem for triangle-free graphs with minimum degree exceeding $2n/5$. It is shown that any $\mathbb{F}_{3,2}$ -free 3-graph with minimum degree exceeding $(\frac{4}{9} - \frac{1}{125})\binom{n}{2}$ is bipartite, (for $n > n_0$), *i.e.*, the vertices of \mathcal{H} can be split into two parts so that every triple meets both parts.

This is, in fact, a Turán-type result. It solves a problem of Erdős and T. Sós, and answers a question of Mubayi and Rödl that

$$\text{ex}(n, \mathbb{F}_{3,2}) = \max_{\alpha} (n - \alpha) \binom{\alpha}{2}.$$

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Here the right-hand side is $\frac{4}{9} \binom{n}{3} + O(n^2)$. Moreover $e(\mathcal{H}) = \text{ex}(n, \mathbb{F}_{3,2})$ is possible only if $V(\mathcal{H})$ can be partitioned into two sets A and B so that each triple of \mathcal{H} intersects A in exactly two vertices and B in one.

1. Independent neighbourhoods

Consider a 3-uniform hypergraph \mathcal{H} , and let a and b be two distinct vertices. The neighbourhood, $N(ab)$, of the pair ab consists of the vertices z for which $\{a, b, z\} \in E(\mathcal{H})$. Its size, $\mu(ab) := |N(ab)|$, is called the *codegree* of ab . We say that the neighbourhoods of \mathcal{H} are *independent* if $N(ab)$ contains no triple from $E(\mathcal{H})$ for any pair ab . The link graph G_a of a in \mathcal{H} is defined as an ordinary graph on $V(\mathcal{H})$ consisting of the pairs bc for which $\{a, b, c\} \in E(\mathcal{H})$. The degree of a , $\text{deg}_{\mathcal{H}}(a) = |E(G_a)|$. We use $d_{\min}(\mathcal{H})$ for the minimum degree and $\text{maxcodeg}(\mathcal{H})$ or μ^* for the $\max \mu(ab)$.

When it is possible, we shall use simplified notation, discarding parentheses and commas, e.g., we shall often abbreviate a triple $\{a, b, c\}$ to abc . As usual, $G[A]$ denotes the subgraph (subhypergraph) of G induced by the vertices of A . For a graph G , $G[A, B]$ denotes the bipartite subgraph defined by the edges joining A to B .

Construction 1.1. ((2, 1)-colourings and $\mathcal{H}_{2,1}(A, B)$) A hypergraph \mathcal{H} has a (2, 1)-colouring if there exists a partition $V = A \cup B$ such that each triple in $E(\mathcal{H})$ meets A in exactly two vertices, and meets B in one vertex. Then the neighbourhoods of \mathcal{H} are independent. Denote by $\mathcal{H}_{2,1}(A, B)$ the hypergraph consisting of all (2, 1)-coloured triples.

Let \mathbb{H}_n denote the class of n -vertex (2, 1)-colourable hypergraphs with maximum number of edges. Then $||A| - \frac{2}{3}n| < 1$, $e(\mathcal{H}) = \frac{4}{9} \binom{n}{3} + O(n^2)$ and every degree is $\frac{4}{9} \binom{n}{2} + O(n)$. For $n = 3k + 2$ the choices $|A| = 2k + 1$ and $2k + 2$ give the same edge-number, \mathbb{H}_n has 2 members. \mathbb{H}_n consists of a single hypergraph for $n = 3k, 3k + 1$.

Construction 1.2. (A hypergraph without (2, 1)-colourings) Let $V = A \cup B \cup D \cup \{x\}$, $|V| = n$, $|A| + |B| + |D| = n - 1$, $|A| = \lfloor \frac{2}{3}n \rfloor$, $|B| > 0$ and $|D| \geq 2$. Define $E(\mathcal{H})$ by taking the edges of $\mathcal{H}_{2,1}(A, B \cup D)$ and having the link graph of x as follows: $E(G_x) := \{ab : a \in$

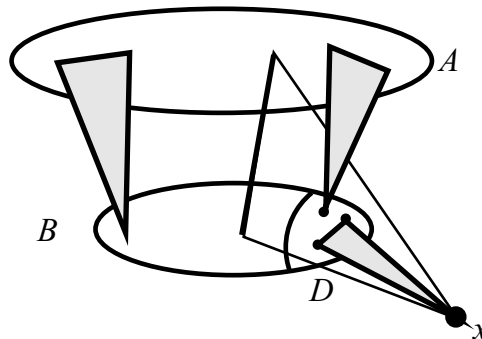


Figure 1. A hypergraph without a (2, 1)-colouring

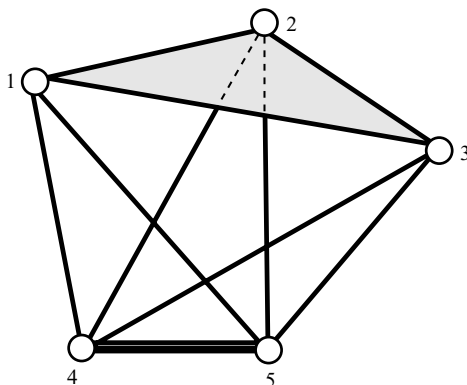


Figure 2. The excluded hypergraph $\mathbb{F}_{3,2}$

$A, b \in B\} \cup \{b_1b_2 : b_1, b_2 \in D\}$. Then the neighbourhoods of \mathcal{H} are independent but \mathcal{H} has no $(2, 1)$ -colouring, although (for $|D| = O(1)$) each vertex has degree at least $\frac{4}{9} \binom{n}{2} - O(n)$.

The vertex x can be replaced by a set X to get a hypergraph containing all triples of types AAB, AAD, ABX and DDX .

The notion of ‘independent neighbourhoods’ can be considered as one of the hypergraph extensions of triangle-free graphs. Andrásfai, Erdős and T. Sós [1] showed that if an n -vertex graph is triangle-free and its minimum degree exceeds $\frac{2}{3}n$, then it is bipartite. The main result of this paper is the following analogue of this important theorem. A hypergraph is *bipartite* if there exists a partition $A \cup B$ of the vertices for which every hyperedge meets both parts.

Theorem 1.3. *Let $\gamma \leq 1/125$ be fixed and $n > n_0$. Let \mathcal{H} be an n -vertex 3-uniform hypergraph. Suppose that the neighbourhoods of \mathcal{H} are independent and*

$$d_{\min}(\mathcal{H}) > \left(\frac{4}{9} - \gamma\right) \binom{n}{2}. \tag{1.1}$$

Then \mathcal{H} is bipartite.

We use $n_0 = 25000$ throughout this paper, but the statements (probably) hold for much smaller values of n , too. Construction 1.2 shows that the above min-degree condition does not imply that \mathcal{H} must have a $(2,1)$ -colouring. The next example shows that $\gamma \geq 5/72 = 0.069\dots$ cannot be chosen in Theorem 1.3.

Construction 1.4. (A non-bipartite triple system) *Let V be an n -element set, $V = A \cup B$, with $|A| = (3/4)n + O(1)$, $|B| = n/4 + O(1)$, and let $E_0 = \{z_1, z_2, z_3\} \subset B$. Split the pairs of A into 3 almost equal parts: $\cup_{1 \leq i \leq 3} \mathcal{E}_i = \{ab : a, b \in A\}$, $|\mathcal{E}_i| \sim \frac{1}{3} \binom{|A|}{2}$. Let $E(\mathcal{H})$ consist of E_0 , the hyperedges of $\mathcal{H}_{2,1}(A, B \setminus E_0)$, and all triples of the form $\{abz_i : a, b \in A, z_i \in E_0, \text{ and } ab \in \mathcal{E}_j, 1 \leq j \neq i \leq 3\}$. Then \mathcal{H} is not bipartite, its neighbourhoods are independent, and $d_{\min}(\mathcal{H}) = \frac{3}{8} \binom{n}{2} + O(n)$.*

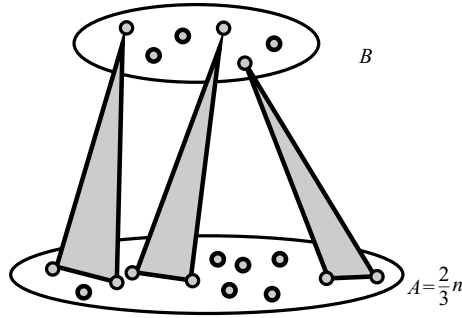


Figure 3. The extremal hypergraph

Conjecture 1.5. *Theorem 1.3 holds for every $\gamma < 5/72$.*

2. Turán’s problem

Let $\mathbb{F}_{3,2}$ be the hypergraph on the vertices $1, 2, 3, 4, 5$ having 4 triples $\{1, 2, 3\}$, $\{1, 4, 5\}$, $\{2, 4, 5\}$ and $\{3, 4, 5\}$. In an ordinary graph the neighbourhoods are independent if and only if it is triangle-free. Similarly, in a 3-uniform hypergraph the neighbourhoods of pairs are independent if and only if it is $\mathbb{F}_{3,2}$ -free.

More generally, given a 3-uniform hypergraph \mathcal{F} , let $\text{ex}(n, \mathcal{F})$ denote the maximum possible size of a 3-uniform hypergraph of order n that does not contain any subhypergraph isomorphic to \mathcal{F} . An averaging argument shows that the ratio $\text{ex}(n, \mathcal{F})/\binom{n}{3}$ is a non-increasing sequence [15], therefore $\pi(\mathcal{F}) := \lim_{n \rightarrow \infty} \text{ex}(n, \mathcal{F})/\binom{n}{3}$ always exists.

Erdős and T. Sós, in connection with Ramsey–Turán problems for hypergraphs, investigated $\text{ex}(n, \mathbb{F}_{3,2})$. (In [7] $\mathbb{F}_{3,2}$ is denoted by $G^{(3)}(5, 4)$, and in [8, Theorem 2] a more general class of hypergraphs is considered.) Mubayi and Rödl [20] showed that $4/9 \leq \pi(\mathbb{F}_{3,2}) \leq 1/2$ and conjectured that

$$\text{ex}(n, \mathbb{F}_{3,2}) = \frac{4}{9} \binom{n}{3} + o(n^3). \tag{2.1}$$

They also conjectured that $\mathcal{H}_{2,1}(A, B)$ is the extremal hypergraph. Equation (2.1) was verified by the present authors.

Theorem 2.1. (Turán density [14]) $\pi(\{abc, ade, bde, cde\}) = 4/9$.

Here we will give a new proof. The new method also leads to structure theorems for $\mathbb{F}_{3,2}$ -free hypergraphs: to the min-degree stability theorem (Theorem 1.3) stated in the previous section, and to the following further refinements and exact solutions.

Theorem 2.2. (The finer structure) *Let \mathcal{H} be an n -vertex 3-uniform hypergraph not containing $\mathbb{F}_{3,2}$ and suppose that it satisfies the min-degree condition (1.1) with $\gamma \leq 1/125$. Suppose that n is sufficiently large, e.g., $n > \max\{n_0, 1/\gamma\}$ (where $n_0 := 25000$). Let A be a maximum independent set of vertices; denote its complement by B . Then we have the following.*

- (i) B is also independent; (A, B) is a 2-colouring.
- (ii) $||A| - \frac{2}{3}n| < \sqrt{\gamma}n$; $||B| - \frac{1}{3}n| < \sqrt{\gamma}n$.
- (iii) The structure of \mathcal{H} is very close to the extremal one; all but at most $\sqrt{\gamma}n^3$ hyperedges have type AAB , the rest being of type ABB .
- (iv) Consider any two-colouring (A_1, B_1) of \mathcal{H} with $|A_1| \geq |B_1|$, the existence of which was stated in Theorem 1.3. If $|A| \geq 0.65n$ then $A_1 \subset A$ and $|A \setminus A_1| \leq \sqrt{\gamma}n$.

Theorem 2.3. (Extremal) *If \mathcal{H} is an n -vertex 3-uniform hypergraph not containing $\mathbb{F}_{3,2}$ and n is sufficiently large, $n > n_0$, then $e(\mathcal{H}) \leq \max_x(n - \alpha) \binom{2}{2}$. In case of equality $\mathcal{H} \in \mathbb{H}_n$.*

We show that if $e(\mathcal{H})$ is sufficiently large, then the structure of \mathcal{H} is close to that of $\mathcal{H}_{2,1}(A, B)$.

Theorem 2.4. (Global stability) *Suppose that \mathcal{H} is an n -vertex 3-uniform hypergraph not containing $\mathbb{F}_{3,2}$ and $e(\mathcal{H}) > (\frac{4}{9} - c) \binom{n}{3}$, where $c < 10^{-4}$. Then one can delete $O(c^{1/3})n^3$ triples from \mathcal{H} so that the remaining hypergraph has a $(2, 1)$ -colouring (A, B) with $||A| - \frac{2}{3}n| < O(c^{1/3})n$ and $||B| - \frac{1}{3}n| < O(c^{1/3})n$.*

Taking $|A| = (\frac{2}{3} - \frac{1}{2}c)n$, $|B| = (\frac{1}{3} - \frac{1}{2}c)n$, $|D| = |X| = \frac{1}{2}cn$ in Construction 1.2, one can obtain a hypergraph satisfying the constraint of Theorem 2.4; however, one needs to remove at least $\Omega(c^3)n^3$ triples from it to make it $(2, 1)$ -colourable.

Theorem 2.5. (Codegree stability) *For every $\varepsilon > 0$ there exists a $c > 0$ such that the following holds. If \mathcal{H} is an n -vertex 3-uniform hypergraph not containing an $\mathbb{F}_{3,2}$ and satisfying*

$$\left| \text{maxcodeg}(\mathcal{H}) - \frac{2}{3}n \right| > \varepsilon n,$$

then

$$e(\mathcal{H}) \leq \left(\frac{4}{9} - c \right) \binom{n}{3}.$$

Theorem 1.3 is not the first Turán-type stability result concerning hypergraphs. Based on earlier works of Füredi and Kündgen [12], de Caen and Füredi proved [5] that $\pi(\mathcal{L}_7) = \frac{3}{4}$, where \mathcal{L}_7 is the hypergraph formed by the 7 lines of a Fano plane. This result was sharpened in [13] to a min-degree result of the same type as Theorem 1.3. A slightly weaker form of this was also proved independently by Keevash and Sudakov [17]. Further, Keevash and Mubayi obtained in [16] a min-degree version of a Turán-type result of Bollobás [2]. Keevash and Sudakov [18] also obtained a stability result, improving an extremal result of Frankl [9] on the hypergraph-triangle problem. In general, stability may not hold (see the constructions of W. G. Brown [4], and Kostochka [19] for $K_4^{(3)}$). As far as we know, at present these are the only hypergraph results of *this* type.

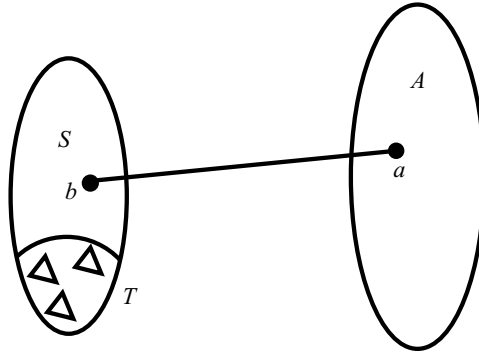


Figure 4. The partition. A and S are independent. The line (a, b) indicates that we shall sum multiplicities $\mu(a, b)$

3. Proofs

3.1. The partition

Let \mathcal{H} be an $\mathbb{F}_{3,2}$ -free triple system on the vertex set V , $|V| = n$, satisfying (1.1), the assumption of Theorem 1.3. Let α denote the maximum size of an independent (i.e., hyperedge-free) subset of V . Let A be a maximal independent set with $|A| = \alpha$ and denote its complement by B , $B = V(\mathcal{H}) \setminus A$. Take as many independent (i.e., pairwise disjoint) triples in B as possible, denote their number by v . That is, $\mathcal{M} := \{E^1, E^2, \dots, E^v\} \subseteq E(\mathcal{H})$, $E^i \cap E^j = \emptyset$, $E^i \cap A = \emptyset$. Their vertices form T , the remaining part is S . So V is partitioned into $A \cup S \cup T$, where A and S are independent, and $|T| = 3v$.

Since the neighbourhoods are independent, we have $\alpha \geq \mu^*$. Clearly,

$$n \times d_{\min}(\mathcal{H}) \leq \sum_{x \in V} \deg_{\mathcal{H}}(x) = 3e(\mathcal{H}) = \sum_{a,b \in V} \mu(ab) \leq \binom{n}{2} \mu^*.$$

Then (1.1) implies that

$$\alpha \geq \mu^* \geq \frac{2d_{\min}}{n-1} > \left(\frac{4}{9} - \gamma\right)n > \frac{2}{5}n.$$

Define the rectangular domain

$$D_1 := \{(x, y) : 2/5 \leq x \leq 1, 0 \leq y \leq 1/5\}.$$

Then $\alpha \geq \frac{2}{5}n$ gives $v \leq \frac{1}{3}(n - \alpha) \leq \frac{1}{3}n$. Hence, for all possible values of the pairs (α, v) ,

$$\frac{1}{n}(\alpha, v) \in D_1. \tag{3.1}$$

3.2. Sketch of the proof of Theorem 1.3

We shall classify the triples according to their positions relative to A , S and T . The number of hyperedges of type XYZ is denoted by Δ_{XYZ} . Since A and S are independent sets,

$$e(\mathcal{H}) = \Delta_{AAS} + \Delta_{AAT} + \Delta_{ASS} + \Delta_{AST} + \Delta_{ATT} + \Delta_{SST} + \Delta_{STT} + \Delta_{TTT}.$$

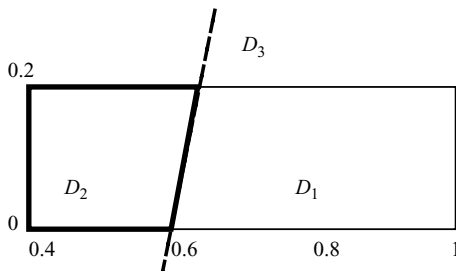


Figure 5.

In Section 3.3 we collect some inequalities for degree-3 polynomials in two variables we will use later. In Sections 3.4–3.9 we are going to give several upper bounds for different combinations of Δ s which hold for every $\mathbb{F}_{3,2}$ -free hypergraph. In Section 3.10 we show that the min-degree condition (1.1), used for the vertices of $A \cup B$, implies bipartiteness. This will complete the proof of Theorem 1.3. Meanwhile, in Section 3.6 we make a short digression to prove $\pi(\mathbb{F}_{3,2}) = 4/9$.

3.3. Three inequalities on polynomials

Define the polynomial

$$f(x, y) = \frac{3}{2} \left((1-x)x^2 - \frac{4}{27} \right) + y \left(\frac{2}{3} - 2x^2 + (1-x-y)(1-x-3y) \right).$$

Lemma 3.1. $f(x, y) \leq 0$ for every point (x, y) in the rectangle D_1 .

Proof (standard optimization). The determinant of the Hessian matrix of $f(x, y)$ is negative for every $(x, y) \in D_1$ (see Section A.1). This implies that f does not have local minima or maxima inside D_1 : the extrema must be on the boundary ∂D_1 . Then the maximum can be found by checking the behaviour of $f(x, y)$ on the four boundary segments of ∂D_1 . On each line $f(x, y)$ reduces to a third-degree polynomial of one variable, whose extrema can be identified by the roots of its derivative, a second-degree polynomial. The details of the calculations are postponed to the Appendix (Section A.1). \square

The very same optimization method establishes the next two lemmas, too. Details are postponed to the Appendix. Define the domain $D_2 \subset D_1$ (a trapezium) by the lines $y = 0$, $y = 1/5$, $x = 2/5$, and $y = 5x - 3$ (the vertices are $(2/5, 0)$, $(2/5, 1/5)$, $(3/5, 0)$ and $(64/100, 2/5)$). Define the polynomial

$$F(x, y) := f(x, y) + \frac{1}{2}y(1 - 3y).$$

Lemma 3.2. $F(x, y) < -1/4000$ for every $(x, y) \in D_2$.

Let D_3 be the open half-plane $\{(x, y) : y < 5x - 3\}$. Note that $D_2 \cap D_3 = \emptyset$. Define

$$g(x, y) := -7x^2 - xy - \frac{3}{2}y^2 + 7x + y - \frac{31}{18} + 5\gamma. \tag{3.2}$$

Lemma 3.3. $g(x, y) < -1/2500$ for every $(x, y) \in D_3$.

3.4. Estimating $e(\mathcal{H})$

(1) Let $X \cup Y \cup Z = V(\mathcal{H})$ be a partition of the vertices. Add up the codegrees of the pairs (x, y) with $x \in X, y \in Y$. For every x, y we have $\mu(xy) \leq \mu^* \leq \alpha$, so

$$\sum_{x \in X, y \in Y} \mu(xy) \leq |X||Y|\mu^* \leq |X||Y|\alpha. \tag{3.3}$$

In the left-hand side of this sum we count the hyperedges of type XXY and $XY Y$ exactly twice, the types XYZ occur only once. Therefore

$$2\Delta_{XXY} + 2\Delta_{XY Y} + \Delta_{XYZ} \leq |X||Y|\alpha.$$

Apply this to $(X, Y, Z) = (A, S, T)$:

$$2\Delta_{AAS} + 2\Delta_{ASS} + \Delta_{AST} \leq (n - \alpha - 3v)\alpha^2. \tag{3.4}$$

For $X = A, Y = B$ (and $Z = \emptyset$) we obtain

$$\Delta_{AAB} + \Delta_{ABB} \leq \frac{1}{2}(n - \alpha)\alpha^2. \tag{3.5}$$

The main goal in the rest of the proof (Sections 3.4–3.10) is to show that B is independent, because (3.5) and $\Delta_{BBB} = 0$ imply the upper bound $e(\mathcal{H}) \leq (2/27)n^3$. Next we show (in Sections 3.11–3.12) that μ^* is strictly less than $|A|$. Then $\mu^* < \alpha$ and (3.3) will imply the exact upper bound for $\text{ex}(n, \mathbb{F}_{3,2})$.

(2) For each pair $a, b \in V$ and edge $E \in E(\mathcal{H})$ we have $|N(ab) \cap E| \leq 2$, otherwise we would have an $\mathbb{F}_{3,2} \subseteq \mathcal{H}$. This implies that $|\{z \in T : abz \in E(\mathcal{H})\}| \leq 2v$. Hence

$$\Delta_{AAT} = \sum_{\{a,b\} \subset A} |\{z \in T : abz \in E(\mathcal{H})\}| \leq 2v \binom{\alpha}{2} \leq v\alpha^2. \tag{3.6}$$

(3) We claim that

$$\Delta_{SST} \leq \frac{1}{2}v(n - \alpha - 3v)^2 + 2v. \tag{3.7}$$

Consider again the maximum independent family $\mathcal{M} = \{E^1, \dots, E^v\}$. Define the multigraph G^i with vertex set S by $E(G^i) := \{E \setminus E^i : E \in E(\mathcal{H}), |E \cap E^i| = 1, |E \cap S| = 2\}$, where the multiplicity of ab is the number of E s with $E \setminus E^i = \{a, b\}$. Clearly, $\Delta_{SST} = \sum_{1 \leq i \leq v} e(G^i)$. We claim that

$$e(G^i) \leq \frac{1}{2}|S|^2 + 2,$$

which implies (3.7). Indeed, every edge of G^i has multiplicity at most 2. If all the edges are of multiplicity 0 or 1, then $e(G^i) \leq \binom{|S|}{2}$ and we are done. If, on the other hand, there

exists a pair s_1s_2 with multiplicity 2, say $x_1s_1s_2, x_2s_1s_2 \in E(\mathcal{H}), x_1x_2x_3 = E^i$, then every other edge of G^i must meet s_1s_2 . If not, say $xs_3s_4 \in E(\mathcal{H})$ with $x \in E^i$, then either $x \neq x_1$ and we can replace E^i in \mathcal{M} by the triples $x_1s_1s_2$ and xs_3s_4 , obtaining $v + 1$ triples in $S \cup T$, which contradicts the maximality of \mathcal{M} or $x = x_1$ and we can replace E^i in \mathcal{M} by the triples $x_2s_1s_2$ and $x_1s_3s_4$. Hence $|E(G^i)| \leq \deg(s_1) + \deg(s_2) - 2 \leq 2 \times 2(|S| - 1) - 2 \leq |S|^2/2 + 2$. \square

(4) We show that

$$\Delta_{STT} \leq 2v^2(n - \alpha - 3v) + v(2n - 4). \tag{3.8}$$

Indeed, pick two hyperedges of the maximum matching $E^i, E^j \in \mathcal{M}$. Consider the 6-vertex, bipartite link graph $F_z := G_z[E^i, E^j]$ for every $z \in S$. Let $\mathcal{F}^{i,j}$ be the multigraph formed by their union, the multiplicity of the edge ab (where $a \in E^i, b \in E^j$) is $|\{z : z \in S, abz \in E(\mathcal{H})\}| \leq |S|$. Let $\mathcal{F}_3^{i,j}$ be the multigraph defined by the edges of multiplicities at least 3. Counting the edges with multiplicities, we have

$$e(\mathcal{F}^{i,j}) = e(\mathcal{F}_3^{i,j}) + (\text{the number of edges of multiplicities } \leq 2) \leq e(\mathcal{F}_3^{i,j}) + 18.$$

The graph $\mathcal{F}_3^{i,j}$ contains no 3 disjoint edges, otherwise they could be extended by vertices from S to 3 disjoint triples. Then we could replace E^i, E^j in \mathcal{M} by these 3 triples, obtaining a larger matching: this would contradict the maximality of v . The König–Hall theorem (applied to this 6-vertex graph) implies that the edges of the bipartite graph $\mathcal{F}_3^{i,j}$ can be covered by two vertices, say, a and b ; every edge of $\mathcal{F}_3^{i,j}$ is adjacent to either a or b . In every F_z every degree is at most 2 (because $\mathbb{F}_{3,2} \notin \mathcal{H}$). Hence F_z has at most 2×2 edges in \mathcal{F}_3 (namely, those adjacent to either a or b). Thus $e(\mathcal{F}_3) = \sum_{z \in S} e(\mathcal{F}_3 \cap F_z) \leq 4|S|$.

$$\begin{aligned} \Delta_{STT} &= \sum_{i \neq j} |\{abz \in E(\mathcal{H}) : a \in E^i, b \in E^j, z \in S\}| \\ &\quad + \sum_i |\{abz \in E(\mathcal{H}) : a, b \in E^i, z \in S\}| \\ &\leq \binom{v}{2} (4|S| + 18) + 3v|S| \leq 2v^2(n - \alpha - 3v) + v(2n - 4). \end{aligned}$$

In the last step we used $\alpha \geq n/3$ (see (3.1)) and wrote the upper bound in a form convenient to use later.

3.5. Estimating the degrees in $A \cup S$

Add up the degrees in A and S :

$$\begin{aligned} \sum_{x \in A} \deg_{\mathcal{H}}(x) &= 2\Delta_{AAB} + \Delta_{ABB}, \\ \sum_{a \in S} \deg_{\mathcal{H}}(a) &= 2\Delta_{ASS} + 2\Delta_{SST} + \Delta_{AAS} + \Delta_{AST} + \Delta_{STT}. \end{aligned}$$

Adding them up and using $\Delta_{AAB} = \Delta_{AAS} + \Delta_{AAT}$, we obtain

$$\begin{aligned} |A \cup S| \times d_{\min}(\mathcal{H}) &\leq (\Delta_{AAB} + \Delta_{ABB}) + (2\Delta_{AAS} + 2\Delta_{ASS} + \Delta_{AST}) \\ &\quad + \Delta_{AAT} + \Delta_{STT} + 2\Delta_{SST}. \end{aligned}$$

Here the right-hand side can be estimated by (3.5), (3.4), (3.6), (3.8), and (3.7):

$$(n - 3v) \times d_{\min}(\mathcal{H}) \leq \frac{1}{2}(n - \alpha)\alpha^2 + (n - \alpha - 3v)\alpha^2 + v\alpha^2 + 2v^2(n - \alpha - 3v) + 2vn + v(n - \alpha - 3v)^2.$$

Rearranging, we get

$$\begin{aligned} (n - 3v) \times \left(d_{\min}(\mathcal{H}) - \frac{2}{9}n^2 \right) &\leq \frac{3}{2} \left((n - \alpha)\alpha^2 - \frac{4}{27}n^3 \right) \\ &+ v \left(\frac{2}{3}n^2 - 2\alpha^2 + (n - \alpha - v)(n - \alpha - 3v) \right) + 2vn \\ &= n^3 f \left(\frac{\alpha}{n}, \frac{v}{n} \right) + 2vn. \end{aligned} \tag{3.9}$$

Using that $12v \leq 7n$ (see (3.1)) and rearranging again,

$$\begin{aligned} (n - 3v) \times \left(d_{\min}(\mathcal{H}) - \left(\frac{4}{9} - \gamma \right) \binom{n}{2} \right) &\leq \frac{3}{2} \left((n - \alpha)\alpha^2 - \frac{4}{27}n^3 \right) \\ &+ v \left(\frac{2}{3}n^2 - 2\alpha^2 + (n - \alpha - v)(n - \alpha - 3v) \right) + \frac{1}{2}\gamma n^2(n - 3v) + n^2 \\ &= n^3 F \left(\frac{\alpha}{n}, \frac{v}{n} \right) + n^2. \end{aligned} \tag{3.10}$$

3.6. Detour: The asymptotic density

Here we prove Theorem 2.1. We have to prove only an upper bound.

Lemma 3.4. *Let \mathcal{H} be an arbitrary $\mathbb{F}_{3,2}$ -free hypergraph. Then*

$$d_{\min}(\mathcal{H}) \leq \frac{2}{9}n^2 + n.$$

Proof. If the min-degree condition (1.1) does not hold then there is nothing to prove. Otherwise $\frac{1}{n}(\alpha, v) \in D_1$ by (3.1). Then Lemma 3.1 gives that $f(\alpha/n, v/n) \leq 0$. Since $(n - 3v) \geq \frac{2}{3}n$, from (3.9) we get that

$$\frac{2}{5}n \times \left(d_{\min}(\mathcal{H}) - \frac{2}{9}n^2 \right) \leq 2vn \leq \frac{2}{5}n^2. \quad \square$$

Proof of Theorem 2.1. Since the above lemma gives that

$$\text{ex}(n, \mathbb{F}_{3,2}) \leq \text{ex}(n - 1, \mathbb{F}_{3,2}) + \frac{2}{9}n^2 + n,$$

it follows that $\text{ex}(n, \mathbb{F}_{3,2}) \leq \sum_{i \leq n} (\frac{2}{9}i^2 + i) = \frac{2}{27}n^3 + O(n^2)$. □

Recall the following lemma from [10]. If \mathcal{F} is a k -uniform hypergraph such that every pair of its vertices is contained in some edge, then for every n

$$\pi(\mathcal{F}) \binom{n}{k} \leq \text{ex}(n, \mathcal{F}) \leq \pi(\mathcal{F}) \frac{n^k}{k!}.$$

This can be applied to $\mathbb{F}_{3,2}$. So $\pi(\mathbb{F}_{3,2}) = \frac{4}{9}$ gives the following.

Corollary 3.5. $\text{ex}(n, \mathbb{F}_{3,2}) \leq \frac{2}{27}n^3$ holds for every n . □

3.7. Estimating the degrees in T

Corollary 3.5 gives

$$\Delta_{TTT} \leq \text{ex}(3v, \mathbb{F}_{3,2}) \leq 2v^3. \tag{3.11}$$

Consider the link graphs $G_z[B]$ restricted to B . A linear combination of (3.7), (3.8) and (3.11) with a little calculation give that

$$\begin{aligned} \sum_{z \in T} e(G_z[B]) &= \Delta_{SST} + 2\Delta_{STT} + 3\Delta_{TTT} \\ &\leq \frac{1}{2}v(n - \alpha - 3v)^2 + 4v^2(n - \alpha - 3v) + 4vn + 6v^3. \end{aligned}$$

Since T is the union of v edges, for $v > 0$ we can divide the above inequality by v . There exists an edge $E^* \in \mathcal{M}$ meeting at most that many triples of type BBB . We obtain

$$\sum_{z \in E^*} e(G_z[B]) \leq \frac{1}{2}(n - \alpha - v)^2 + 2v(n - \alpha - v) + 4n. \tag{3.12}$$

3.8. Common links in A

Consider an arbitrary $z \in B$. Since A is maximal, there exists an $abz \in E(\mathcal{H})$, $a, b \in A$. Since \mathcal{H} is $\mathbb{F}_{3,2}$ -free, no pair uv with $u \in A$, $v \in B$ belongs to all the three bipartite graphs $G_a[A, B]$, $G_b[A, B]$ and $G_z[A, B]$. We obtain that

$$e(G_a[A, B]) + e(G_b[A, B]) + e(G_z[A, B]) \leq 2|A||B| = 2\alpha(n - \alpha). \tag{3.13}$$

Note that the link graph G_a has no edges in A and its maximum degree is at most μ^* . Therefore

$$e(G_a[B]) + e(G_a) = \sum_{y \in B} \text{deg}_{G_a}(y) \leq |B|\mu^*. \tag{3.14}$$

Similarly for b ,

$$e(G_b[B]) + e(G_b) \leq |B|\mu^*. \tag{3.15}$$

Adding up (3.13), (3.14) and (3.15) and using $|B|\mu^* = (n - \alpha)\mu^* \leq (n - \alpha)\alpha$, we get

$$\begin{aligned} e(G_a[A, B]) + e(G_b[A, B]) + e(G_z[A, B]) + e(G_a[B]) + e(G_a) + e(G_b[B]) + e(G_b) \\ \leq 2\alpha(n - \alpha) + 2|B|\mu^* \leq 4\alpha(n - \alpha). \end{aligned} \tag{3.16}$$

On the left-hand side we can use

$$\text{deg}(a) = e(G_a) = e(G_a[B]) + e(G_a[A, B]),$$

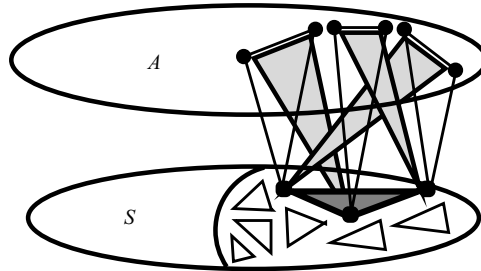


Figure 6. The special configuration H_9

since $G_a[A]$ has no edge. Similarly, $\deg(b) = e(G_b[B]) + e(G_b[A, B])$. So the left-hand side of (3.16) is $e(G_z[A, B]) + 2\deg(a) + 2\deg(b)$. This gives

$$e(G_z[A, B]) \leq 4\alpha(n - \alpha) - 4d_{\min}(\mathcal{H}) \quad \text{for every } z \in B. \tag{3.17}$$

Lemma 3.6. *Suppose that \mathcal{H} satisfies the min-degree condition (1.1) and $E^* \subset B$ satisfies (3.12). Suppose further that $\frac{1}{n}(\alpha, v) \in D_3$, $n > n_0$. Then, for every pair $z_1, z_2 \in E^*$, there are at least 4α common edges of $G_{z_1}[A]$ and $G_{z_2}[A]$.*

Proof. Indeed, assuming the contrary, we would also have that $e(G_{z_1}[A]) + e(G_{z_2}[A]) < \binom{\alpha}{2} + 4\alpha$. Using this, (3.17) and (3.12) we get

$$\begin{aligned} 2d_{\min} &\leq \deg_{\mathcal{H}}(z_1) + \deg_{\mathcal{H}}(z_2) = e(G_{z_1}[A]) + e(G_{z_2}[A]) \\ &\quad + e(G_{z_1}[A, B]) + e(G_{z_2}[A, B]) + e(G_{z_1}[B]) + e(G_{z_2}[B]) \\ &\leq \binom{\alpha}{2} + 4\alpha + 8\alpha(n - \alpha) - 8d_{\min} + \frac{1}{2}(n - \alpha - v)^2 + 2v(n - \alpha - v) + 4n. \end{aligned}$$

Rearranging, we get

$$0 \leq 5\gamma n^2 - \frac{31}{18}n^2 + 7\alpha(n - \alpha) + v(n - \alpha) - \frac{3}{2}v^2 + 10n = n^2g\left(\frac{\alpha}{n}, \frac{v}{n}\right) + 10n.$$

By Lemma 3.3, $g \leq -1/2500$ on D_3 . This is a contradiction for $n > 25000$. □

3.9. Small substructures

Suppose that \mathcal{H} is an arbitrary $\mathbb{F}_{3,2}$ -free hypergraph, A is a maximum independent set, $\alpha := |A|$, $B := V \setminus A$. Define a well-positioned $H_9 \subseteq \mathcal{H}$ as follows. There are 6 vertices $x_1, \dots, x_6 \in A$ and 3 vertices $\{z_1, z_2, z_3\} \subseteq B$ and the following 7 edges belong to $E(\mathcal{H})$: (z_1, z_2, z_3) , $(z_i, x_{2i-1}x_{2i})$ and $(z_{i+1}, x_{2i-1}x_{2i})$ for $i = 1, 2, 3$ (where $z_4 = z_1$).

Lemma 3.7. *Suppose that $\alpha > \frac{3}{5}n$, $E^* \subset B$ belongs to a well-positioned H_9 and it satisfies (3.12). Then $d_{\min}(\mathcal{H}) < 0.434\binom{n}{2}$ holds for $n > n_0$.*

Proof. We claim that

$$\frac{3}{2} \sum_{k=1,2,3} e(G_{z_k}[A, B]) + \sum_{1 \leq j \leq 6} e(G_{x_j}[A, B]) \leq 6\alpha(n - \alpha). \tag{3.18}$$

To see this, let us define the weight $w(ab)$ for $a \in A, b \in B$ as $w(ab) := \frac{3}{2}m_E(ab) + m_X(ab)$ where $m_E(ab)$ is the number of triples of the form abz_k and $m_X(ab)$ denotes the number of triples of the form abx_j ($1 \leq k \leq 3, 1 \leq j \leq 6$). The left-hand side of (3.18) is equal to $\sum_{a \in A, b \in B} w(ab)$. We will show that $w(ab) \leq 6$. This is certainly true if $m_E(ab) = 0$ since, obviously, $m_X(ab) \leq 6$. For the case $m_E(ab) = 2$, i.e., if $N(ab)$ meets E^* in two vertices, observe that $\mathbb{F}_{3,2} \notin \mathcal{H}$ implies that $N(ab)$ contains at most one from each pair x_{2i-1}, x_{2i} , hence $m_X(ab) \leq 3$. Finally, it is easy to check that $m_E(ab) = 1$ implies $m_X \leq 4$, completing the proof of (3.18).

Consider the identity

$$\begin{aligned} & \frac{3}{2} \sum_{k=1,2,3} \deg_{\mathcal{H}}(z_k) + 2 \sum_{1 \leq j \leq 6} \deg_{\mathcal{H}}(x_j) \\ &= \frac{3}{2} \sum_{k=1,2,3} e(G_{z_k}[A]) + \frac{3}{2} \sum_{k=1,2,3} e(G_{z_k}[A, B]) + \sum_{1 \leq j \leq 6} e(G_{x_j}[A, B]) \\ &+ \sum_{1 \leq j \leq 6} (e(G_{x_j}) + e(G_{x_j}[B])) + \frac{3}{2} \sum_{k=1,2,3} e(G_{z_k}[B]). \end{aligned}$$

Estimating the right-hand side, for the first sum we can use that no pair of vertices belongs to all the three $E(G_{z_i})$ s. In the second and third sum we use (3.18), in the fourth sum each term is at most $\alpha(n - \alpha)$ by (3.14), and for the last sum we use (3.12). We obtain

$$\frac{33}{2}d_{\min} \leq \frac{3}{2} \times 2 \binom{\alpha}{2} + 12\alpha(n - \alpha) + \frac{3}{2} \left(\frac{1}{2}(n - \alpha - v)^2 + 2v(n - \alpha - v) + 4n \right).$$

Using that $\frac{3}{2}(\frac{1}{2}(x - v)^2 + 2v(x - v)) \leq x^2$ holds for all x, v , we get

$$\frac{33}{2}d_{\min} \leq \frac{3}{2}\alpha^2 + 12\alpha(n - \alpha) + (n - \alpha)^2 + 6n = n^2 + 10\alpha n - \frac{19}{2}\alpha^2 + 6n.$$

Here $10\alpha n - (19/2)\alpha^2$ is monotone decreasing for $\alpha > \frac{10}{19}n$. Substituting $\alpha = \frac{3}{5}n$ we get the upper bound $d_{\min}(\mathcal{H}) \leq (179/825)n^2 + (12/33)n$, implying our claim. \square

3.10. \mathcal{H} is bipartite

Here we prove Theorem 1.3. The above lemmas hold, except Lemma 3.7, for every $\mathbb{F}_{3,2}$ -free hypergraph satisfying $\alpha \geq \frac{2}{5}n$. From now on we will use that \mathcal{H} satisfies the min-degree condition (1.1). Consider the partition $V = A \cup B = A \cup S \cup T$ defined in Section 3.1. We will prove that B is independent. (3.1) asserts $\frac{1}{n}(\alpha, v) \in D_1$. We will show that $v = 0$.

Our estimates could not handle all cases of (α, v) simultaneously, so we divide the domain D_1 into two parts by the line $y = 5x - 3$ and use different estimates for each of them. We chose this line to (somewhat) maximize the value of γ achievable with the method presented, and, simultaneously, to keep the required calculations minimal. Taking $y = 5x - 3.0053$ one can push γ up to 0.0084.

Consider first the case when $\frac{1}{n}(\alpha, \nu) \in D_2$. Then Lemma 3.2 gives that $F(\alpha/n, \nu/n) \leq -1/4000$. Since $(n - 3\nu) \geq \frac{2}{5}n$, we get from (3.10) that

$$\frac{2}{5}n \times \left(d_{\min}(\mathcal{H}) - \left(\frac{4}{9} - \gamma \right) \binom{n}{2} \right) \leq -\frac{1}{4000}n^3 + n^2.$$

Here the left-hand side is nonnegative, but the right-hand side is negative for $n > 4000$. This contradiction implies that $\frac{1}{n}(\alpha, \nu) \in D_3 \cap D_1$, in particular $\alpha > \frac{3}{5}n$.

Suppose, on the contrary, that $\nu > 0$. Then there exists an edge $E^* = (z_1, z_2, z_3)$, $E^* \subset B$ satisfying (3.12). Lemma 3.6 implies that E^* can be extended into a well-positioned \mathbf{H}_9 . Therefore we can apply Lemma 3.7. This leads to the contradiction $d_{\min}(\mathcal{H}) < 0.434 \binom{n}{2}$. Thus only $\nu = 0$ is possible and \mathcal{H} is bipartite. \square

3.11. The finer structure of \mathcal{H}

In this part we prove Theorem 2.2(i)–(iii). Let A be a maximum independent set. The proof of Theorem 1.3 implies that \mathcal{H} is bipartite, and B is independent (for $n > n_0$). Then all triples have types AAB or ABB . So the min-degree condition (1.1) and (3.5) give

$$\frac{1}{3}n \left(\frac{4}{9} - \gamma \right) \binom{n}{2} \leq e(\mathcal{H}) \leq \frac{1}{2}(n - \alpha)\alpha^2.$$

Multiplying by 6 and rearranging, we obtain

$$\left(\frac{2}{3}n - \alpha \right)^2 (n + 3\alpha) \leq \left(\gamma + \left(\frac{4}{9} - \gamma \right) \frac{1}{n} \right) n^3,$$

implying Theorem 2.2(ii) for $n > \max\{n_0, 1/\gamma\}$:

$$\left| \alpha - \frac{2}{3}n \right| < \sqrt{\gamma} \cdot n. \tag{3.19}$$

Proof of Theorem 2.2(iii). Use that \mathcal{H} is bipartite, Corollary 3.5, (1.1) and (3.19):

$$\begin{aligned} \Delta_{BBA} &= 2(\Delta_{BBA} + \Delta_{BAA}) - (\Delta_{BBA} + 2\Delta_{BAA}) \\ &= 2e(\mathcal{H}) - \sum_{x \in A} \deg_{\mathcal{H}}(x) \leq 2 \times \frac{2}{27}n^3 - \alpha \left(\frac{4}{9} - \gamma \right) \binom{n}{2} \\ &= \frac{2}{9} \left(\frac{2}{3}n - \alpha \right) n^2 + \gamma \alpha \binom{n}{2} + \frac{2}{9}n\alpha < \sqrt{\gamma}n^3. \end{aligned} \quad \square$$

3.12. The case $|A| \geq 0.65n$

In this section we suppose that \mathcal{H} is an $\mathbb{F}_{3,2}$ -free hypergraph satisfying the conditions of Theorem 2.2 and also suppose that $\alpha \geq 0.65n$.

Claim 3.8. *If C is an independent set of vertices with $|C \cap A| \geq \frac{1}{4}n$ then $C \subseteq A$.*

Proof. Assuming the contrary, we may fix a $z \in C \cap B$. Consider G_z . It has no edges in B (by Theorem 1.3), or in $C \cap A$, and only very few edges joining A and B (by (3.17)).

We get

$$d_{\min} \leq e(G_z[A, B]) + e(G_z[A]) \leq 4\alpha(n - \alpha) - 4d_{\min} + \binom{\alpha}{2} - \binom{|A \cap C|}{2}.$$

This is a contradiction for $d_{\min} > \left(\frac{4}{9} - \gamma\right)\binom{n}{2}$, $\alpha \geq 0.65n$ and $|A \cap C| \geq 0.25n$. □

Claim 3.9. $\mu^* < \alpha$.

Proof. Consider a pair $x, y \in V$ with $\mu(xy) = \mu^*$, and let $C := N(xy)$. If $|C| < 0.65n \leq |A|$, then there is nothing to prove. Otherwise $|C| > |B| + \frac{1}{4}n$, so it has at least $n/4$ common vertices with A . Since C is independent, too, Claim 3.8 implies that $C \subseteq A$. Thus $\mu^* = \alpha$ is only possible if $C = A$ and $x, y \in B$.

Consider $E(G_x[A])$ and $E(G_y[A])$. If they have a common triangle, say abc , then the hyperedges xab, xac, xay and bcy form an $\mathbb{F}_{3,2}$, a contradiction. So we can apply the Turán–Mantel theorem for $E(G_x[A]) \cap E(G_y[A])$. We get

$$e(G_x[A]) + e(G_y[A]) \leq \binom{|A|}{2} + |E(G_x[A]) \cap E(G_y[A])| \leq \binom{|A|}{2} + \left\lfloor \frac{1}{4}\alpha^2 \right\rfloor.$$

Since B is independent (by Theorem 2.2(i)) we get $e(G_x) = e(G_x[A]) + e(G_x[A, B])$. Use this for x and y and apply (3.17):

$$2d_{\min} \leq e(G_x[A]) + e(G_y[A]) + e(G_x[A, B]) + e(G_y[A, B]) \leq \frac{3}{4}\alpha^2 + 8\alpha(n - \alpha) - 8d_{\min}.$$

For $\alpha \geq 0.65n$ this gives $d_{\min} \leq 0.43\binom{n}{2}$. This contradiction shows that $\alpha = \mu^*$ is not possible. □

Proof of Theorem 2.2(iv). Consider an arbitrary 2-colouring (A_1, B_1) of $V(\mathcal{H})$ with A_1 and B_1 being independent. Then one of the colour classes meets A in at least $\frac{1}{4}n$ vertices, say $|A_1 \cap A| \geq n/4$. Claim 3.8 states that $A_1 \subseteq A$. We show that

$$|A \setminus A_1| \leq \sqrt{\gamma}n.$$

Indeed, every triple meeting $A \setminus A_1$ must meet both A_1 and B . Thus for $x \in A \setminus A_1$ we have $d_{\min} \leq \deg_{\mathcal{H}}(x) \leq |B||A_1|$. This is equivalent to $|B|(|A| - |A_1|) \leq |B||A| - d_{\min} = \alpha(n - \alpha) - d_{\min}$. Rearranging, we get

$$|B|(|A| - |A_1|) \leq \left(\frac{2}{3}n - \alpha\right)\left(-\frac{1}{3}n + \alpha\right) + \left(\frac{4}{9}\binom{n}{2} - d_{\min}\right) + \frac{2}{9}n.$$

Then (3.19) implies $|A| - |A_1| \leq \sqrt{\gamma}n$. □

3.13. The extremal hypergraph

Here we prove Theorem 2.3. Suppose that \mathcal{H} is an n -vertex $\mathbb{F}_{3,2}$ -free triple system of maximum cardinality,

$$e(\mathcal{H}) \geq \max_a \frac{1}{2}(n - a)a(a - 1) := e(n).$$

The degrees of any two vertices of \mathcal{H} differ by at most $n - 2$. Otherwise one can delete the vertex of smaller degree and duplicate the other, thus increasing the size of \mathcal{H} . Thus we may suppose that (for $n > n_0$) $d_{\min}(\mathcal{H}) > (\frac{4}{9} - 10^{-4})\binom{n}{2}$. Apply Theorems 1.3 and 2.2(i). We obtain that \mathcal{H} has a 2-colouring (A, B) where $|A|$ is the maximal independent set, $|A| = \alpha$. Then Theorem 2.2(ii) implies that $\alpha > 0.65n$. Then Claim 3.9 gives $\mu^* \leq \alpha - 1$. We obtain the desired upper bound:

$$2e(\mathcal{H}) = \sum_{\substack{a \in A \\ b \in B}} \mu(ab) \leq \alpha(n - \alpha)\mu^* \leq 2(n - \alpha) \binom{\alpha}{2} \leq 2e(n).$$

Moreover, equality can hold only if $\mu(ab) = \alpha - 1$ for every crossing pair $a \in A, b \in B$. Then $|N(ab)|$ is large, so by Claim 3.8 it is contained in A . Thus all hyperedges must be of type AAB . □

3.14. Reduction to minimum degree

Here we prove Theorems 2.4 and 2.5. Both deal with hypergraphs satisfying

$$e(\mathcal{H}) > \left(\frac{4}{9} - c\right) \binom{n}{3}. \tag{3.20}$$

Lemma 3.10. *Let $\gamma = c^{2/3}$. Then for $n > n_0(c)$ one can find a subset $V_1 \subseteq V, |V_1| = n_1 > (1 - c^{1/3})n$, such that, for $\mathcal{H}_1 := \mathcal{H}[V_1]$,*

$$\deg_{\mathcal{H}_1}(x) > \left(\frac{4}{9} - \gamma\right) \binom{n_1}{2} \tag{3.21}$$

holds for every $x \in V_1$.

Proof. Delete a vertex from V if its degree is at most $(\frac{4}{9} - \gamma)\binom{|V|}{2}$. Repeat this if we can find another vertex of small degree. This way the average degree goes up slightly, but it cannot go too high. A routine counting shows that the process stops within $c^{1/3}n$ steps. We omit the details. □

To prove Theorem 2.4 consider the hypergraph \mathcal{H} . Using Lemma 3.10, deleting at most $c^{1/3}n$ vertices (and $O(c^{1/3}n^3)$ edges) we get the hypergraph \mathcal{H}_1 satisfying (3.21). Apply Theorem 1.3 to \mathcal{H}_1 to obtain a bipartition (A, B) . Apply Theorem 2.2(iii) to \mathcal{H}_1 to obtain a $(2, 1)$ -colourable hypergraph after deleting another $O(\sqrt{\gamma}n^3) = O(c^{1/3}n^3)$ edges. □

To prove Theorem 2.5 consider a triple system \mathcal{H} satisfying (3.20). Apply Lemma 3.10 to get \mathcal{H}_1 . Then Theorem 2.2(ii), more exactly (3.19), implies that $\alpha(\mathcal{H}_1)$ is about $\frac{2}{3}|V_1|$. Since $|V \setminus V_1|$ is small this gives an upper bound for the maximum codegree,

$$\max\text{codeg}(\mathcal{H}_1) \leq \max\text{codeg}(\mathcal{H}) \leq \alpha(\mathcal{H}) \leq \alpha(\mathcal{H}_1) + |V \setminus V_1| \leq \frac{2}{3}n + O(\sqrt{\gamma}n).$$

Finally, since B_1 is independent,

$$e(\mathcal{H}_1) = \frac{1}{2} \sum_{a \in A_1, b \in B_1} \mu(ab) \leq \frac{1}{2} \alpha(\mathcal{H}_1)(|V_1| - \alpha(\mathcal{H}_1)) \mu^*(\mathcal{H}_1).$$

Then (3.20), and $|V_1| \sim n$, $\alpha(\mathcal{H}_1) \sim \frac{2}{3}n$ give the lower bound for $\text{maxcodeg}(\mathcal{H}_1)$. □

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Appendix: Proof of the lemmas on polynomials

A.1. Proof of Lemma 3.1

First, we show that the Hessian of f is indefinite on the open halfplane $D_4 := \{(x, y) : x > 1/3\}$, so the extrema of f on $D_1 \subset D_4$ must be on the four boundary line-segments of ∂D_1 . Let f_{xx}, f_{xy}, f_{yy} denote the partial derivatives, and let $\mathbf{J}(x, y)$ be the determinant of the Hessian. Then $f_{xx} = -9x - 2y + 3$, $f_{yy} = 8x + 18y - 8$, $f_{xy} = -2x + 8y - 2$ and

$$\mathbf{J}(x, y) = f_{xx}f_{yy} - f_{xy}^2 = 88x - 76x^2 - 146xy - 28 + 102y - 100y^2.$$

We have that $\mathbf{J}(x, y) \neq 0$ for every $x > 1/3$, because solving $\mathbf{J}(x, y) = 0$ for y , the discriminant $-2271x^2 + 1354x - 199$ is negative for $x > 1/3$. Since there is at least one point where \mathbf{J} is negative, e.g., $\mathbf{J}(1, 0) = -16 < 0$, continuity implies that \mathbf{J} is negative for every point of D_4 .

Let us check the behaviour of $f(x, y)$ on the boundary ∂D_1 . On each segment it reduces to a third-degree polynomial of one variable, whose extrema can be identified by the roots of its derivative, a second-degree polynomial.

(1) First check the lower horizontal boundary, $I_1 := \{(x, 0) : 2/5 \leq x \leq 1\}$. Consider $f(x, 0) = \frac{3}{2}((1-x)x^2 - \frac{4}{27}) = -\frac{3}{2}(\frac{2}{3} - x)^2(\frac{1}{3} + x)$. So $f \leq 0$ on I_1 .

(2) Next check the left vertical boundary line $x = 2/5$. Let $\varphi_2(y) := f(2/5, y) = (225y^3 - 180y^2 + 53y)/75 - 88/1125$ and $I_2 := \{(2/5, y) : 0 \leq y \leq 1/5\}$. The derivative φ_2' has no real roots. So φ_2 is strictly increasing and takes its maximum on $[0, 1/5]$ at $y = 1/5$. Then $\varphi_2(1/5) = -2/225$ implies $f < 0$ on I_2 .

(3) The third part to be checked is the boundary segment on $x = 1$. Let $\varphi_3(y) := f(1, y) = (27y^3 - 12y - 2)/9$ and $I_3 := \{(1, y) : 0 \leq y \leq 1/5\}$. We have $\varphi_3'(y) = (27y^2 - 4)/3$; its roots are $\pm \frac{2}{9}\sqrt{3} \sim \pm 0.3849$. So φ_3' is negative on $[0, 1/5]$ and φ_3 is decreasing and takes its maximum at $y = 0$. Then $\varphi_3(0) = -2/9$ implies $f < 0$ on I_3 .

(4) Finally, check the segment on $y = 1/5$. Let $\varphi_4(x) := f(x, 1/5) = (-75x^3 + 65x^2 - 12x)/50 + 28/1125$ and $I_4 := \{(x, 1/5) : 2/5 \leq x \leq 1\}$. The derivative of φ_4 is $(-225x^2 + 130x - 12)/50$ and it has one root, $x_2 := (13 + \sqrt{61})/45 \sim 0.462$ in I_4 . Hence φ_4' is positive on $[2/5, x_2)$ and negative on $(x_2, 1]$, and φ_4 has its maximum at x_2 . We have $\varphi_4(x_2) = (61\sqrt{61} - 665)/30375 \sim -0.0062$ so $f < 0$ on I_4 . □

A.2. Proof of Lemma 3.2

It is enough to prove the next two lemmas for $\gamma = 1/125$.

Since $F(x, y)$ and $f(x, y)$ differ by a linear term, their Hessians coincide. So \mathbf{J} is negative on $D_2 \subset D_1$, too. So the extrema of F must be on some of the four boundary segments of ∂D_2 .

(5) Consider the lower horizontal boundary, $I_5 := \{(x, 0) : 2/5 \leq x \leq 3/5\}$. Define $\varphi_5(x) := F(x, 0) = \frac{3}{2}((1-x)x^2 - \frac{4}{27}) + \frac{1}{2}\gamma$. Then $\varphi'_5 = \frac{3}{2}(2x - 3x^2)$ and it is positive on I_5 . So φ_5 is increasing and takes its maximum at $x = \frac{3}{5}$. Then $\varphi_5(3/5) = \frac{1}{2}\gamma - 7/1125 = -1/450$, implying $F < -1/4000$ on I_5 .

(6) Check F on the left vertical boundary line $x = 2/5$. Let $\varphi_6(y) := F(2/5, y) = (6750y^3 - 5400y^2 + 1563y - 167)/2250$ and $I_6 := \{(2/5, y) : 0 \leq y \leq 1/5\} = I_2$. Then φ'_6 has no real roots; it is positive on $[0, 1/5]$. So φ_6 takes its maximum at $y = 1/5$. We have $\varphi_6(1/5) = -41/5625 \sim -0.007289$, so $F < -1/4000$ on I_6 .

(7) Consider the boundary segment on the tilted line $y = 5x - 3$. Let $\varphi_7(x) := F(x, 5x - 3) = (210825x^3 - 405225x^2 + 258873x - 54982)/450$ and $I_7 := \{(x, 5x - 3) : 3/5 \leq x \leq 0.64\}$. The derivative of φ_7 is $(210825x^2 - 270150x + 86291)/150$, and it has one root $x_1 = (9005 - \sqrt{235358})/14055 \sim 0.6061$ in I_7 . Then φ'_7 is positive on $[3/5, x_1)$ and negative on $(x_1, 0.64]$. So φ_7 takes its maximum at x_1 . We have $\varphi_7(x_1) \sim -2.58 \times 10^{-4}$, so $F < -1/4000$ on I_7 .

(8) Finally, check the upper boundary on $y = 1/5$. Let $\varphi_8(x) := F(x, 1/5) = \varphi_4(x) + 1/625$ and $I_8 := \{(x, 1/5) : 2/5 \leq x \leq 0.64\} \subset I_4$. Since $\varphi_4 < -0.0062$, on I_4 we get $\varphi_4(x) + 0.0016 = \varphi_8(x) < -0.0046$. So $F < -1/4000$ on I_8 . □

A.3. Proof of Lemma 3.3

Consider the closed half-plane $\overline{D}_3 := \{(x, y) : y \leq 5x - 3\}$. We claim that

$$g(x, y) = -7x^2 - xy - \frac{3}{2}y^2 + 7x + y - \frac{31}{18} + 5\gamma,$$

defined in (3.2), takes its maximum on \overline{D}_3 at the point $(x_0, y_0) := (20/33, 1/33)$ on the boundary line and $g(x_0, y_0) = -4/99 + 5\gamma = -1/2475 < -1/2500$. Indeed, $g(x, y) = 0$ is an ellipse lying outside D_3 , its centre being $(20/41, 7/41)$. Further, g has an absolute maximum at this centre; it is a concave function so its maximum on \overline{D}_3 should be on the boundary. Finally, $g(x, 5x - 3) = -99x^2/2 + 60x - 4091/225$ and its maximum can easily be calculated. □

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