

# Minimum number of additive tuples in groups of prime order

Ostap Chervak

Oleg Pikhurko\*

Katherine Staden†

Mathematics Institute and DIMAP  
University of Warwick  
Coventry, U.K.

Mathematical Institute  
University of Oxford  
Oxford, U.K.

oschervak@gmail.com, o.pikhurko@warwick.ac.uk

staden@maths.ox.ac.uk

Submitted: Oct 5, 2017; Accepted: Jan 30, 2019; Published: Feb 22, 2019

© The authors. Released under the CC BY-ND license (International 4.0).

## Abstract

For a prime number  $p$  and a sequence of integers  $a_0, \dots, a_k \in \{0, 1, \dots, p\}$ , let  $s(a_0, \dots, a_k)$  be the minimum number of  $(k+1)$ -tuples  $(x_0, \dots, x_k) \in A_0 \times \dots \times A_k$  with  $x_0 = x_1 + \dots + x_k$ , over subsets  $A_0, \dots, A_k \subseteq \mathbb{Z}_p$  of sizes  $a_0, \dots, a_k$  respectively. We observe that an elegant argument of Samotij and Sudakov can be extended to show that there exists an extremal configuration with all sets  $A_i$  being intervals of appropriate length. The same conclusion also holds for the related problem, posed by Bajnok, when  $a_0 = \dots = a_k =: a$  and  $A_0 = \dots = A_k$ , provided  $k$  is not equal 1 modulo  $p$ . Finally, by applying basic Fourier analysis, we show for Bajnok's problem that if  $p \geq 13$  and  $a \in \{3, \dots, p-3\}$  are fixed while  $k \equiv 1 \pmod{p}$  tends to infinity, then the extremal configuration alternates between at least two affine non-equivalent sets.

**Mathematics Subject Classifications:** 11B30, 05D99

## 1 Introduction

Let  $\Gamma$  be a given finite Abelian group, with the group operation written additively.

For  $A_0, \dots, A_k \subseteq \Gamma$ , let  $s(A_0, \dots, A_k)$  be the number of  $(k+1)$ -tuples  $(x_0, \dots, x_k) \in A_0 \times \dots \times A_k$  with  $x_0 = x_1 + \dots + x_k$ . If  $A_0 = \dots = A_k := A$ , then we use the shorthand  $s_k(A) := S(A_0, \dots, A_k)$ . For example,  $s_2(A)$  is the number of *Schur triples* in  $A$ , that is, ordered triples  $(x_0, x_1, x_2) \in A^3$  with  $x_0 = x_1 + x_2$ .

For integers  $n \geq m \geq 0$ , let  $[m, n] := \{m, m+1, \dots, n\}$  and  $[n] := [0, n-1] = \{0, \dots, n-1\}$ . For a sequence  $a_0, \dots, a_k \in [|\Gamma|+1] = \{0, 1, \dots, |\Gamma|\}$ , let  $s(a_0, \dots, a_k; \Gamma)$  be

---

\*Supported by ERC grant 306493 and EPSRC grant EP/K012045/1.

†Supported by ERC grant 306493

the minimum of  $s(A_0, \dots, A_k)$  over subsets  $A_0, \dots, A_k \subseteq \Gamma$  of sizes  $a_0, \dots, a_k$  respectively. Additionally, for  $a \in [0, p]$ , let  $s_k(a; \Gamma)$  be the minimum of  $s_k(A)$  over all  $a$ -sets  $A \subseteq \Gamma$ .

The question of finding the maximal size of a sum-free subset of  $\Gamma$  (i.e. the maximum  $a$  such that  $s_2(a; \Gamma) = 0$ ) originated in a paper of Erdős [2] in 1965 and took 40 years before it was resolved in full generality by Green and Ruzsa [3]. Huczynska, Mullen and Yucas [4], and later Samotij and Sudakov [7], introduced the problem of finding  $s_2(a; \Gamma)$ . This function has a resemblance to some classical questions in extremal combinatorics, where one has to minimise the number of forbidden configurations, see [7, Section 1] for more details.

Huczynska, Mullen and Yucas [4] were able to solve the  $s_2$ -problem for  $\Gamma = \mathbb{Z}_p$ , where  $p$  is prime and  $\mathbb{Z}_p$  is the cyclic group of order  $p$ . Samotij and Sudakov [7] solved the  $s_2$ -problem for various groups, including a different proof of the  $\mathbb{Z}_p$  case. Bajnok [1, Problem G.48] suggested the more general problem of considering  $s_k(a; \Gamma)$ . Since even the  $s_2$ -case is still wide open in full generality, Bajnok [1, Problem G.49] proposed, as a possible first step, to consider  $s_k(a; \mathbb{Z}_p)$ , where  $p$  is prime and  $k \geq 3$ .

This paper concentrates on the latter question of Bajnok. Therefore, let  $p$  be a fixed prime and let, by default, the underlying group be  $\mathbb{Z}_p$ , which we identify with the additive group of residues modulo  $p$  (also using the multiplicative structure on it when this is useful). In particular, we write  $s(a_0, \dots, a_k) := s(a_0, \dots, a_k; \mathbb{Z}_p)$  and  $s_k(a) := s_k(a; \mathbb{Z}_p)$ . Since the case  $p = 2$  is trivial, let us assume that  $p \geq 3$ . By an  $m$ -term arithmetic progression (or  $m$ -AP for short) we mean a set of the form  $\{x, x + d, \dots, x + (m - 1)d\}$  for some  $x, d \in \mathbb{Z}_p$  with  $d \neq 0$ . We call  $d$  the *difference*. For  $I \subseteq \mathbb{Z}_p$  and  $x, y \in \mathbb{Z}_p$ , write  $x \cdot I + y := \{x \cdot z + y \mid z \in I\}$ .

As we already mentioned, the case  $k = 2$  has been completely resolved: Huczynska, Mullen and Yucas determined  $s_2(a)$ , and Samotij and Sudakov [7] showed that, when  $s_2(a) > 0$ , then the  $a$ -sets that achieve the minimum are exactly those of the form  $\xi \cdot I$  with  $\xi \in \mathbb{Z}_p \setminus \{0\}$ , where  $I$  consists of the residues modulo  $p$  of  $a$  integers closest to  $\frac{p-1}{2} \in \mathbb{Z}$ . Each such set is an arithmetic progression; its difference can be any non-zero value but the initial element has to be carefully chosen.

Here we propose a generalisation of Bajnok's question, namely to investigate the function  $s(a_0, \dots, a_k)$ . First, by adopting the elegant argument of Samotij and Sudakov [7], we show that at least one extremal configuration consists of  $k + 1$  arithmetic progressions with the same difference. Note that since

$$s(A_0, \dots, A_k) = s(\xi \cdot A_0 + \eta_0, \dots, \xi \cdot A_k + \eta_k), \quad \text{for } \xi \neq 0 \text{ and } \eta_0 = \eta_1 + \dots + \eta_k, \quad (1)$$

finding such arithmetic progressions reduces to finding progressions with difference 1 (and starting element 0 for some  $k$  of the sets).

**Theorem 1.** *For arbitrary  $k \geq 1$  and  $a_0, \dots, a_k \in [0, p]$ , there is  $t \in \mathbb{Z}_p$  such that*

$$s(a_0, \dots, a_k) = s([a_0] + t, [a_1], \dots, [a_k]).$$

In particular, if  $a_0 = \dots = a_k =: a$ , then one extremal configuration consists of  $A_1 = \dots = A_k = [a]$  and  $A_0 = [t, t + a - 1]$  for some  $t \in \mathbb{Z}_p$ . Given this, one can write

down some formulas for  $s(a_0, \dots, a_k)$  in terms of  $a_0, \dots, a_k$  involving summation (based on (3) or a version of (13)) but there does not seem to be a closed form in general.

If  $k \not\equiv 1 \pmod{p}$ , then by taking  $\xi := 1$ ,  $\eta_1 := \dots := \eta_k := -t(k-1)^{-1}$ , and  $\eta_0 := -kt(k-1)^{-1}$  in (1), we can get another extremal configuration where all sets are the same:  $A_0 + \eta_0 = \dots = A_k + \eta_k$ . Thus Theorem 1 directly implies the following corollary.

**Corollary 2.** *For every  $k \geq 2$  with  $k \not\equiv 1 \pmod{p}$  and  $a \in [0, p]$ , there is  $t \in \mathbb{Z}_p$  such that  $s_k(a) = s_k([t, t+a-1])$ .  $\square$*

Unfortunately, if  $k \geq 3$ , then there may be sets  $A$  different from APs that attain equality in Corollary 2 with  $s_k(|A|) > 0$  (which is in contrast to the case  $k = 2$ ). For example, our (non-exhaustive) search showed that this happens already for  $p = 17$ , when

$$s_3(14) = 2255 = s_3([-1, 12]) = s_3([6, 18] \cup \{3\}).$$

Also, already the case  $k = 2$  of the more general Theorem 1 exhibits extra solutions. Of course, by analysing the proof of Theorem 1 or Corollary 2 one can write a necessary and sufficient condition for the cases of equality. We do this in Section 2; in some cases this condition can be simplified.

However, by using basic Fourier analysis on  $\mathbb{Z}_p$ , we can describe the extremal sets for Corollary 2 when  $k \not\equiv 1 \pmod{p}$  is sufficiently large.

**Theorem 3.** *Let a prime  $p \geq 7$  and an integer  $a \in [3, p-3]$  be fixed, and let  $k \not\equiv 1 \pmod{p}$  be sufficiently large. Then there exists  $t \in \mathbb{Z}_p$  for which the only  $s_k(a)$ -extremal sets are  $\xi \cdot [t, t+a-1]$  for all non-zero  $\xi \in \mathbb{Z}_p$ .*

**Problem 4.** Find a ‘good’ description of all extremal families for Corollary 2 (or perhaps Theorem 1) for  $k \geq 3$ .

While Corollary 2 provides an example of an  $s_k(a)$ -extremal set for  $k \not\equiv 1 \pmod{p}$ , the case  $k \equiv 1 \pmod{p}$  of the  $s_k(a)$ -problem turns out to be somewhat special. Here, translating a set  $A$  has no effect on the quantity  $s_k(A)$ . More generally, let  $\mathcal{A}$  be the group of all invertible affine transformations of  $\mathbb{Z}_p$ , that is, it consists of maps  $x \mapsto \xi \cdot x + \eta$ ,  $x \in \mathbb{Z}_p$ , for  $\xi, \eta \in \mathbb{Z}_p$  with  $\xi \neq 0$ . Then

$$s_k(\alpha(A)) = s_k(A), \quad \text{for every } k \equiv 1 \pmod{p} \text{ and } \alpha \in \mathcal{A}. \quad (2)$$

Let us call two subsets  $A, B \subseteq \mathbb{Z}_p$  (affine) *equivalent* if there is  $\alpha \in \mathcal{A}$  with  $\alpha(A) = B$ . By (2), we need to consider sets only up to this equivalence. Trivially, any two subsets of  $\mathbb{Z}_p$  of size  $a$  are equivalent if  $a \leq 2$  or  $a \geq p-2$ .

Again using Fourier analysis on  $\mathbb{Z}_p$ , we show the following result.

**Theorem 5.** *Let a prime  $p \geq 7$  and an integer  $a \in [3, p-3]$  be fixed, and let  $k \equiv 1 \pmod{p}$  be sufficiently large. Then the following statements hold for the  $s_k(a)$ -problem.*

1. *If  $a$  and  $k$  are both even, then  $[a]$  is the unique (up to affine equivalence) extremal set.*

2. If at least one of  $a$  and  $k$  is odd, define  $I' := [a - 1] \cup \{a\} = \{0, \dots, a - 2, a\}$ . Then

- (a)  $s_k(a) < s_k([a])$  for all large  $k$ ;
- (b)  $I'$  is the unique extremal set for infinitely many  $k$ ;
- (c)  $s_k(a) < s_k(I')$  for infinitely many  $k$ , provided there are at least three non-equivalent  $a$ -subsets of  $\mathbb{Z}_p$ .

It is not hard to see that there are at least three non-equivalent  $a$ -subsets of  $\mathbb{Z}_p$  if and only if  $p \geq 13$  and  $a \in [3, p - 3]$ , or  $p \geq 11$  and  $a \in [4, p - 4]$ . Thus Theorem 5 characterises pairs  $(p, a)$  for which there exists an  $a$ -subset  $A$  which is  $s_k(a)$ -extremal for all large  $k \equiv 1 \pmod{p}$ .

**Corollary 6.** *Let  $p$  be a prime and  $a \in [0, p]$ . There is an  $a$ -subset  $A \subseteq \mathbb{Z}_p$  with  $s_k(A) = s_k(a)$  for all large  $k \equiv 1 \pmod{p}$  if and only if  $a \leq 2$ , or  $a \geq p - 2$ , or  $p \in \{7, 11\}$  and  $a = 3$ .  $\square$*

As is often the case in mathematics, a new result leads to further open problems.

**Problem 7.** Given  $a \in [3, p - 3]$ , find a ‘good’ description of all  $a$ -subsets of  $\mathbb{Z}_p$  that are  $s_k(a)$ -extremal for at least one (resp. infinitely many) values of  $k \equiv 1 \pmod{p}$ .

**Problem 8.** Is it true that for every  $a \in [3, p - 3]$  there is  $k_0$  such that for all  $k \geq k_0$  with  $k \equiv 1 \pmod{p}$ , any two  $s_k(a)$ -extremal sets are affine equivalent?

## 2 Proof of Theorem 1

Here we prove Theorem 1 by adopting the proof of Samotij and Sudakov [7].

Let  $A_1, \dots, A_k$  be subsets of  $\mathbb{Z}_p$ . Define  $\sigma(x; A_1, \dots, A_k)$  as the number of  $k$ -tuples  $(x_1, \dots, x_k) \in A_1 \times \dots \times A_k$  with  $x = x_1 + \dots + x_k$ . Also, for an integer  $r \geq 0$ , let

$$\begin{aligned} N_r(A_1, \dots, A_k) &:= \{x \in \mathbb{Z}_p \mid \sigma(x; A_1, \dots, A_k) \geq r\}, \\ n_r(A_1, \dots, A_k) &:= |N_r(A_1, \dots, A_k)|. \end{aligned}$$

These notions are related to our problem because of the following easy identity:

$$s(A_0, \dots, A_k) = \sum_{r=1}^{\infty} |A_0 \cap N_r(A_1, \dots, A_k)|. \quad (3)$$

Let an *interval* mean an arithmetic progression with difference 1, i.e. a subset  $I$  of  $\mathbb{Z}_p$  of form  $\{x, x + 1, \dots, x + y\}$ . Its *centre* is  $x + y/2 \in \mathbb{Z}_p$ ; it is unique if  $I$  is *proper* (that is,  $0 < |I| < p$ ). Note the following easy properties of the sets  $N_r$ :

1. These sets are nested:

$$N_0(A_1, \dots, A_k) = \mathbb{Z}_p \supseteq N_1(A_1, \dots, A_k) \supseteq N_2(A_1, \dots, A_k) \supseteq \dots \quad (4)$$

2. If each  $A_i$  is an interval with centre  $c_i$ , then  $N_r(A_1, \dots, A_k)$  is an interval with centre  $c_1 + \dots + c_k$ .

We will also need the following result of Pollard [6, Theorem 1].

**Theorem 9.** *Let  $p$  be a prime,  $k \geq 1$ , and  $A_1, \dots, A_k$  be subsets of  $\mathbb{Z}_p$  of sizes  $a_1, \dots, a_k$ . Then for every integer  $r \geq 1$ , we have*

$$\sum_{i=1}^r n_i(A_1, \dots, A_k) \geq \sum_{i=1}^r n_i([a_1], \dots, [a_k]).$$

*Proof of Theorem 1.* Let  $A_0, \dots, A_k$  be some extremal sets for the  $s(a_0, \dots, a_k)$ -problem. We can assume that  $0 < a_0 < p$ , because  $s(A_0, \dots, A_k)$  is 0 if  $a_0 = 0$  and  $\prod_{i=1}^k a_i$  if  $a_0 = p$ , regardless of the choice of the sets  $A_i$ .

Since  $n_0([a_1], \dots, [a_k]) = p > p - a_0$  while  $n_r([a_1], \dots, [a_k]) = 0 < p - a_0$  when, for example,  $r > \prod_{i=1}^{k-1} a_i$ , there is a (unique) integer  $r_0 \geq 0$  such that

$$n_r([a_1], \dots, [a_k]) > p - a_0, \quad \text{all } r \in [0, r_0], \quad (5)$$

$$n_r([a_1], \dots, [a_k]) \leq p - a_0, \quad \text{all integers } r \geq r_0 + 1. \quad (6)$$

The nested intervals  $N_1([a_1], \dots, [a_k]) \supseteq N_2([a_1], \dots, [a_k]) \supseteq \dots$  have the same centre  $c := ((a_1 - 1) + \dots + (a_k - 1))/2$ . Thus there is a translation  $I := [a_0] + t$  of  $[a_0]$ , with  $t$  independent of  $r$ , which has as small as possible intersection with each  $N_r$ -interval above given their sizes, that is,

$$|I \cap N_r([a_1], \dots, [a_k])| = \max\{0, n_r([a_1], \dots, [a_k]) + a_0 - p\}, \quad \text{for all } r \in \mathbb{N}. \quad (7)$$

This and Pollard's theorem give the following chain of inequalities:

$$\begin{aligned} s(A_0, \dots, A_k) &\stackrel{(3)}{=} \sum_{i=1}^{\infty} |A_0 \cap N_i(A_1, \dots, A_k)| \\ &\geq \sum_{i=1}^{r_0} |A_0 \cap N_i(A_1, \dots, A_k)| \\ &\geq \sum_{i=1}^{r_0} (n_i(A_1, \dots, A_k) + a_0 - p) \\ &\stackrel{\text{Thm 9}}{\geq} \sum_{i=1}^{r_0} (n_i([a_1], \dots, [a_k]) + a_0 - p) \\ &\stackrel{(5)-(6)}{=} \sum_{i=1}^{\infty} \max\{0, n_i([a_1], \dots, [a_k]) + a_0 - p\} \\ &\stackrel{(7)}{=} \sum_{i=1}^{\infty} |I \cap N_i([a_1], \dots, [a_k])| \\ &\stackrel{(3)}{=} s(I, [a_1], \dots, [a_k]), \end{aligned}$$

giving the required. □

Let us write a necessary and sufficient condition for equality in Theorem 1 in the case  $a_0, \dots, a_k \in [1, p-1]$ . Let  $r_0 \geq 0$  be defined by (5)–(6). Then, by (4), a sequence  $A_0, \dots, A_k \subseteq \mathbb{Z}_p$  of sets of sizes respectively  $a_0, \dots, a_k$  is extremal if and only if

$$A_0 \cap N_{r_0+1}(A_1, \dots, A_k) = \emptyset, \quad (8)$$

$$A_0 \cup N_{r_0}(A_1, \dots, A_k) = \mathbb{Z}_p, \quad (9)$$

$$\sum_{i=1}^{r_0} n_i(A_1, \dots, A_k) = \sum_{i=1}^{r_0} n_i([a_1], \dots, [a_k]). \quad (10)$$

Let us now concentrate on the case  $k = 2$ , trying to simplify the above condition. We can assume that no  $a_i$  is equal to 0 or  $p$  (otherwise the choice of the other two sets has no effect on  $s(A_0, A_1, A_2)$  and every triple of sets of sizes  $a_0, a_1$  and  $a_2$  is extremal). Also, as in [7], let us exclude the case  $s(a_0, a_1, a_2) = 0$ , as then there are in general many extremal configurations. Note that  $s(a_0, a_1, a_2) = 0$  if and only if  $r_0 = 0$ ; also, by the Cauchy-Davenport theorem (the special case  $k = 2$  and  $r = 1$  of Theorem 9), this is equivalent to  $a_1 + a_2 - 1 \leq p - a_0$ . Assume by symmetry that  $a_1 \leq a_2$ . Note that (5) implies that  $r_0 \leq a_1$ .

The condition in (10) states that we have equality in Pollard's theorem. A result of Nazarewicz, O'Brien, O'Neill and Staples [5, Theorem 3] characterises when this happens (for  $k = 2$ ), which in our notation is the following.

**Theorem 10.** *For  $k = 2$  and  $1 \leq r_0 \leq a_1 \leq a_2 < p$ , we have equality in (10) if and only if at least one of the following conditions holds:*

1.  $r_0 = a_1$ ,
2.  $a_1 + a_2 \geq p + r_0$ ,
3.  $a_1 = a_2 = r_0 + 1$  and  $A_2 = g - A_1$  for some  $g \in \mathbb{Z}_p$ ,
4.  $A_1$  and  $A_2$  are arithmetic progressions with the same difference.

Let us try to write more explicitly each of these four cases, when combined with (8) and (9).

First, consider the case  $r_0 = a_1$ . We have  $N_{a_1}([a_1], [a_2]) = [a_1 - 1, a_2 - 1]$  and thus  $n_{a_1}([a_1], [a_2]) = a_2 - a_1 + 1 > p - a_0$ , that is,  $a_2 - a_1 \geq p - a_0$ . The condition (8) holds automatically since  $N_i(A_1, A_2) = \emptyset$  whenever  $i > |A_1|$ . The other condition (9) may be satisfied even when none of the sets  $A_i$  is an arithmetic progression (for example, take  $p = 13$ ,  $A_1 = \{0, 1, 3\}$ ,  $A_2 = \{0, 2, 3, 5, 6, 7, 9, 10\}$  and let  $A_0$  be the complement of  $N_3(A_1, A_2) = \{3, 6, 10\}$ ). We do not see any better characterisation here, apart from stating that (9) holds.

Next, suppose that  $a_1 + a_2 \geq p + r_0$ . Then, for any two sets  $A_1$  and  $A_2$  of sizes  $a_1$  and  $a_2$ , we have  $N_{r_0}(A_1, A_2) = \mathbb{Z}_p$ ; thus (9) holds automatically. Similarly to the previous case, there does not seem to be a nice characterisation of (8). For example, (8) may hold

even when none of the sets  $A_i$  is an AP: e.g. let  $p = 11$ ,  $A_1 = A_2 = \{0, 1, 2, 3, 4, 5, 7\}$ , and let  $A_0 = \{0, 2, 10\}$  be the complement of  $N_4(A_1, A_2) = \{1, 3, 4, 5, 6, 7, 8, 9\}$  (here  $r_0 = 3$ ).

Next, suppose that we are in the third case. The primality of  $p$  implies that  $g \in \mathbb{Z}_p$  satisfying  $A_2 = g - A_1$  is unique and thus  $N_{r_0+1}(A_1, A_2) = \{g\}$ . Therefore (8) is equivalent to  $A_0 \not\ni g$ . Also, note that if  $I_1$  and  $I_2$  are intervals of size  $r_0 + 1$ , then  $n_{r_0}(I_1, I_2) = 3$ . By the definition of  $r_0$ , we have  $p - 2 \leq a_0 \leq p - 1$ . Thus we can choose any integer  $r_0 \in [1, p - 2]$  and  $(r_0 + 1)$ -sets  $A_2 = g - A_1$ , and then let  $A_0$  be obtained from  $\mathbb{Z}_p$  by removing  $g$  and at most one further element of  $N_{r_0}(A_1, A_2)$ . Here,  $A_0$  is always an AP (as a subset of  $\mathbb{Z}_p$  of size  $a_0 \geq p - 2$ ) but  $A_1$  and  $A_2$  need not be.

Finally, let us show that if  $A_1$  and  $A_2$  are arithmetic progressions with the same difference  $d$  and we are not in Case 1 nor 2 of Theorem 10, then  $A_0$  is also an arithmetic progression whose difference is  $d$ . By (1), it is enough to prove this when  $A_1 = [a_1]$  and  $A_2 = [a_2]$  (and  $d = 1$ ). Since  $a_1 + a_2 \leq p - 1 + r_0$  and  $r_0 + 1 \leq a_1 \leq a_2$ , we have that

$$\begin{aligned} N_{r_0}(A_1, A_2) &= [r_0 - 1, a_1 + a_2 - r_0 - 1] \\ N_{r_0+1}(A_1, A_2) &= [r_0, a_1 + a_2 - r_0 - 2] \end{aligned}$$

have sizes respectively  $a_1 + a_2 - 2r_0 + 1 < p$  and  $a_1 + a_2 - 2r_0 - 1 > 0$ . We see that  $N_{r_0+1}(A_1, A_2)$  is obtained from the proper interval  $N_{r_0}(A_1, A_2)$  by removing its two endpoints. Thus  $A_0$ , which is sandwiched between the complements of these two intervals by (8)–(9), must be an interval too. (And, conversely, every such triple of intervals is extremal.)

### 3 The proof of Theorems 3 and 5

Let us recall the basic definitions and facts of Fourier analysis on  $\mathbb{Z}_p$ . For a more detailed treatment of this case, see e.g. [8, Chapter 2]. Write  $\omega := e^{2\pi i/p}$  for the  $p^{\text{th}}$  root of unity. Given a function  $f : \mathbb{Z}_p \rightarrow \mathbb{C}$ , we define its *Fourier transform* to be the function  $\widehat{f} : \mathbb{Z}_p \rightarrow \mathbb{C}$  given by

$$\widehat{f}(\gamma) := \sum_{x=0}^{p-1} f(x) \omega^{-x\gamma}, \quad \text{for } \gamma \in \mathbb{Z}_p.$$

Parseval's identity states that

$$\sum_{x=0}^{p-1} f(x) \overline{g(x)} = \frac{1}{p} \sum_{\gamma=0}^{p-1} \widehat{f}(\gamma) \overline{\widehat{g}(\gamma)}. \quad (11)$$

The *convolution* of two functions  $f, g : \mathbb{Z}_p \rightarrow \mathbb{C}$  is given by

$$(f * g)(x) := \sum_{y=0}^{p-1} f(y) g(x - y).$$

It is not hard to show that the Fourier transform of a convolution equals the product of Fourier transforms, i.e.

$$\widehat{f_1 * \dots * f_k} = \widehat{f_1} \cdot \dots \cdot \widehat{f_k}. \quad (12)$$

We write  $f^{*k}$  for the convolution of  $f$  with itself  $k$  times. (So, for example,  $f^{*2} = f * f$ .) Denote by  $\mathbb{1}_A$  the *indicator function* of  $A \subseteq \mathbb{Z}_p$  which assumes value 1 on  $A$  and 0 on  $\mathbb{Z}_p \setminus A$ . We will call  $\widehat{\mathbb{1}}_A(0) = |A|$  the *trivial Fourier coefficient* of  $A$ . Since the Fourier transform behaves very nicely with respect to convolution, it is not surprising that our parameter of interest,  $s_k(A)$ , can be written as a simple function of the Fourier coefficients of  $\mathbb{1}_A$ . Indeed, let  $A \subseteq \mathbb{Z}_p$  and  $x \in \mathbb{Z}_p$ . Then the number of tuples  $(a_1, \dots, a_k) \in A^k$  such that  $a_1 + \dots + a_k = x$  (which is  $\sigma(x; A, \dots, A)$  in the notation of Section 2) is precisely  $\mathbb{1}_A^{*k}(x)$ . The function  $s_k(A)$  counts such a tuple if and only if its sum  $x$  also lies in  $A$ . Thus,

$$s_k(A) = \sum_{x=0}^{p-1} \mathbb{1}_A^{*k}(x) \mathbb{1}_A(x) \stackrel{(11)}{=} \frac{1}{p} \sum_{\gamma=0}^{p-1} \widehat{\mathbb{1}}_A^{*k}(\gamma) \overline{\widehat{\mathbb{1}}_A(\gamma)} \stackrel{(12)}{=} \frac{1}{p} \sum_{\gamma=0}^{p-1} \left( \widehat{\mathbb{1}}_A(\gamma) \right)^k \overline{\widehat{\mathbb{1}}_A(\gamma)}. \quad (13)$$

Since every set  $A \subseteq \mathbb{Z}_p$  of size  $a$  has the same trivial Fourier coefficient (namely  $\widehat{\mathbb{1}}_A(0) = a$ ), let us re-write (13) as

$$ps_k(A) - a^{k+1} = \sum_{\gamma=1}^{p-1} \left( \widehat{\mathbb{1}}_A(\gamma) \right)^k \overline{\widehat{\mathbb{1}}_A(\gamma)} =: F(A). \quad (14)$$

Thus we need to minimise  $F(A)$  (which is a real number for any  $A$ ) over  $a$ -subsets  $A \subseteq \mathbb{Z}_p$ . To do this when  $k$  is sufficiently large, we will consider the largest in absolute value non-trivial Fourier coefficient  $\widehat{\mathbb{1}}_A(\gamma)$  of an  $a$ -subset  $A$ . Indeed, the term  $\left( \widehat{\mathbb{1}}_A(\gamma) \right)^k \overline{\widehat{\mathbb{1}}_A(\gamma)}$  will dominate  $F(A)$ , so if it has strictly negative real part, then  $F(A) < F(B)$  for all  $a$ -subsets  $B \subseteq \mathbb{Z}_p$  with  $\max_{\delta \neq 0} |\widehat{\mathbb{1}}_B(\delta)| < |\widehat{\mathbb{1}}_A(\gamma)|$ .

Given  $a \in [p-1]$ , let

$$I := [a] = \{0, \dots, a-1\} \quad \text{and} \quad I' := [a-1] \cup \{a\} = \{a, \dots, a-2, a\}.$$

In order to prove Theorems 3 and 5, we will make some preliminary observations about these special sets. The set of  $a$ -subsets which are affine equivalent to  $I$  is precisely the set of  $a$ -APs.

Next we will show that

$$F(I) = 2 \sum_{\gamma=1}^{(p-1)/2} (-1)^{\gamma(a-1)(k-1)} \left| \widehat{\mathbb{1}}_I(\gamma) \right|^{k+1} \quad \text{if } k \equiv 1 \pmod{p}. \quad (15)$$

Note that  $(-1)^{\gamma(a-1)(k-1)}$  equals  $(-1)^\gamma$  if both  $a, k$  are even and 1 otherwise. To see (15), let  $\gamma \in \{1, \dots, \frac{p-1}{2}\}$  and write  $\widehat{\mathbb{1}}_I(\gamma) = re^{\theta i}$  for some  $r > 0$  and  $0 \leq \theta < 2\pi$ . Then  $\theta$  is the midpoint of  $0, -2\pi\gamma/p, \dots, -2(a-1)\gamma\pi/p$ , i.e.  $\theta = -\pi(a-1)\gamma/p$ . Choose  $s \in \mathbb{N}$  such that  $k = sp + 1$ . Then

$$\left( \widehat{\mathbb{1}}_I(\gamma) \right)^k \overline{\widehat{\mathbb{1}}_I(\gamma)} = \left( re^{-\pi i(a-1)\gamma/p} \right)^k r e^{\pi i(a-1)\gamma/p} = r^{k+1} e^{-\pi i(a-1)\gamma s}, \quad (16)$$



and  $e^{-\pi i(a-1)s}$  equals 1 if  $(a-1)s$  is even, and  $-1$  if  $(a-1)s$  is odd. Note that, since  $p$  is an odd prime,  $(a-1)s$  is odd if and only if  $a$  and  $k$  are both even. So (16) is real, and the fact that  $\widehat{\mathbb{1}}_I(p-\gamma) = \overline{\widehat{\mathbb{1}}_I(\gamma)}$  implies that the corresponding term for  $p-\gamma$  is the same as for  $\gamma$ . This gives (15). A very similar calculation to (16) shows that

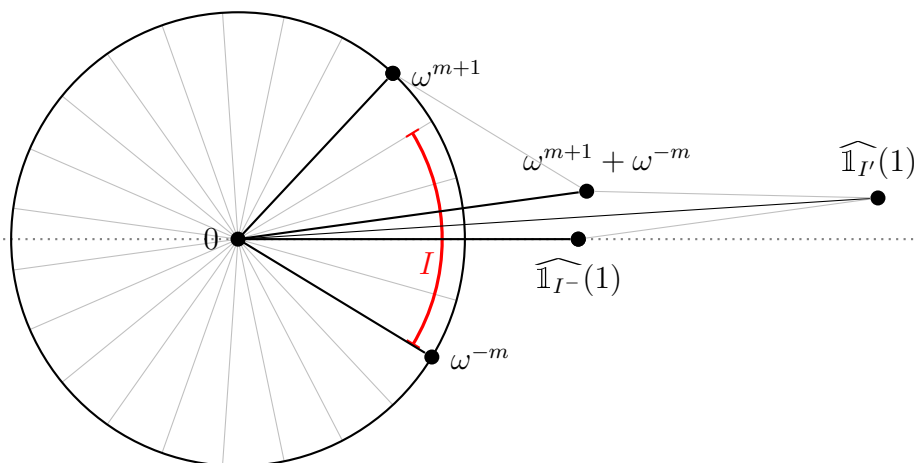
$$F(I+t) = \sum_{\gamma=1}^{p-1} e^{-\pi i(2t+a-1)(k-1)\gamma/p} |\widehat{\mathbb{1}}_{I+t}(\gamma)|^{k+1} \quad \text{for all } k \geq 3. \quad (17)$$

Given  $r > 0$  and  $0 \leq \theta < 2\pi$ , we write  $\arg(re^{\theta i}) := \theta$ .

**Proposition 11.** *Suppose that  $p \geq 7$  is prime and  $a \in [3, p-3]$ . Then  $\arg(\widehat{\mathbb{1}}_{I'}(1))$  is not an integer multiple of  $\pi/p$ .*

*Proof.* Since  $\widehat{\mathbb{1}}_A(\gamma) = -\widehat{\mathbb{1}}_{\mathbb{Z}_p \setminus A}(\gamma)$  for all  $A \subseteq \mathbb{Z}_p$  and non-zero  $\gamma \in \mathbb{Z}_p$ , we may assume without loss of generality that  $a \leq p-a$ . Since  $p$  is odd, we have  $a \leq (p-1)/2$ .

Suppose first that  $a$  is odd. Let  $m := (a-1)/2$ . Then  $m \in [1, \frac{p-3}{4}]$ . Observe that translating any  $A \subseteq \mathbb{Z}_p$  changes the arguments of its Fourier coefficients by an integer multiple of  $2\pi/p$ . So, for convenience of angle calculations, here we may redefine  $I := [-m, m]$  and  $I' := \{-m-1\} \cup [-m+1, m]$ . Also let  $I^- := [-m+1, m-1]$ , which is non-empty. The argument of  $\widehat{\mathbb{1}}_{I^-}(1)$  is 0. Further,  $\widehat{\mathbb{1}}_{I'}(1) = \widehat{\mathbb{1}}_{I^-}(1) + \omega^{m+1} + \omega^{-m}$ . Since  $\omega^{m+1}, \omega^{-m}$  lie on the unit circle, the argument of  $\omega^{m+1} + \omega^{-m}$  is either  $\pi/p$  or  $\pi + \pi/p$ . But the bounds on  $m$  imply that it has positive real part, so  $\arg(\omega^{m+1} + \omega^{-m}) = \pi/p$ . By looking at the non-degenerate parallelogram in the complex plane with vertices  $0, \widehat{\mathbb{1}}_{I^-}(1), \omega^{m+1} + \omega^{-m}, \widehat{\mathbb{1}}_{I'}(1)$ , we see that the argument of  $\widehat{\mathbb{1}}_{I'}(1)$  lies strictly between that of  $\widehat{\mathbb{1}}_{I^-}(1)$  and  $\omega^{m+1} + \omega^{-m}$ , i.e. strictly between 0 and  $\pi/p$ , giving the required.



Suppose now that  $a$  is even and let  $m := (a-2)/2 \in [1, \frac{p-5}{4}]$ . Again without loss of generality we may redefine  $I := [-m, m+1]$  and  $I' := \{-m-1\} \cup [-m+1, m+1]$ . Let also  $I^- := [-m+1, m]$ , which is non-empty. The argument of  $\widehat{\mathbb{1}}_{I^-}(1)$  is  $-\pi/p$ . Further,  $\widehat{\mathbb{1}}_{I'}(1) = \widehat{\mathbb{1}}_{I^-}(1) + \omega^{m+1} + \omega^{-(m+1)}$ . The argument of  $\omega^{m+1} + \omega^{-(m+1)}$  is 0, so as before the argument of  $\widehat{\mathbb{1}}_{I'}(1)$  is strictly between  $-\pi/p$  and 0, as required.  $\square$

We say that an  $a$ -subset  $A$  is a *punctured interval* if  $A = I' + t$  or  $A = -I' + t$  for some  $t \in \mathbb{Z}_p$ . That is,  $A$  can be obtained from an interval of length  $a + 1$  by removing a penultimate point.

**Lemma 12.** *Let  $p \geq 7$  be prime and let  $a \in \{3, \dots, p - 3\}$ . Then the sets  $I, I' \subseteq \mathbb{Z}_p$  are not affine equivalent. Thus no punctured interval is affine equivalent to an interval.*

*Proof.* Suppose on the contrary that there is  $\alpha \in \mathcal{A}$  with  $\alpha(I') = I$ . Let a *reflection* mean an affine map  $R_c$  with  $c \in \mathbb{Z}_p$  that maps  $x$  to  $-x + c$ . Clearly,  $I = [a]$  is invariant under the reflection  $R := R_{a-1}$ . Thus  $I'$  is invariant under the map  $R' := \alpha^{-1} \circ R \circ \alpha$ . As is easy to see,  $R'$  is also some reflection and thus preserves the cyclic distances in  $\mathbb{Z}_p$ . So  $R'$  has to fix  $a$ , the unique element of  $I'$  with both distance-1 neighbours lying outside of  $I'$ . Furthermore,  $R'$  has to fix  $a - 2$ , the unique element of  $I'$  at distance 2 from  $a$ . However, no reflection can fix two distinct elements of  $\mathbb{Z}_p$ , a contradiction.  $\square$

We remark that the previous lemma can also be deduced from Proposition 11. Indeed, for any  $A \subseteq \mathbb{Z}_p$ , the multiset of Fourier coefficients of  $A$  is the same as that of  $x \cdot A$  for  $x \in \mathbb{Z}_p \setminus \{0\}$ , and translating a subset changes the argument of Fourier coefficients by an integer multiple of  $2\pi/p$ . Thus for every subset which is affine equivalent to  $I$ , the argument of each of its Fourier coefficients is an integer multiple of  $\pi/p$ .

Let

$$\rho(A) := \max_{\gamma \in \mathbb{Z}_p \setminus \{0\}} |\widehat{\mathbb{1}}_A(\gamma)| \quad \text{and} \quad R(a) := \left\{ \rho(A) : A \in \binom{\mathbb{Z}_p}{a} \right\} = \{m_1(a) > m_2(a) > \dots\}.$$

Given  $j \geq 1$ , we say that  $A$  *attains*  $m_j(a)$ , and specifically that  $A$  *attains*  $m_j(a)$  *at*  $\gamma$  if  $m_j(a) = \rho(A) = |\widehat{\mathbb{1}}_A(\gamma)|$ . Notice that, since  $\widehat{\mathbb{1}}_A(-\gamma) = \overline{\widehat{\mathbb{1}}_A(\gamma)}$ , the set  $A$  attains  $m_j(a)$  at  $\gamma$  if and only if  $A$  attains  $m_j(a)$  at  $-\gamma$  (and  $\gamma, -\gamma \neq 0$  are distinct values).

As we show in the next lemma, the  $a$ -subsets which attain  $m_1(a)$  are precisely the affine images of  $I$  (i.e. arithmetic progressions), and the  $a$ -subsets which attain  $m_2(a)$  are the affine images of the punctured interval  $I'$ .

**Lemma 13.** *Let  $p \geq 7$  be prime and let  $a \in [3, p - 3]$ . Then  $|R(a)| \geq 2$  and*

- (i)  $A \in \binom{\mathbb{Z}_p}{a}$  attains  $m_1(a)$  if and only if  $A$  is affine equivalent to  $I$ , and every interval attains  $m_1(a)$  at 1 and  $-1$  only;
- (ii)  $B \in \binom{\mathbb{Z}_p}{a}$  attains  $m_2(a)$  if and only if  $B$  is affine equivalent to  $I'$ , and every punctured interval attains  $m_2(a)$  at 1 and  $-1$  only.

*Proof.* Given  $D \in \binom{\mathbb{Z}_p}{a}$ , we claim that there is some  $D_{\text{pri}} \in \binom{\mathbb{Z}_p}{a}$  with the following properties:

- $D_{\text{pri}}$  is affine equivalent to  $D$ ;
- $\rho(D) = |\widehat{\mathbb{1}}_{D_{\text{pri}}}(1)|$ ; and

- $-\pi/p < \arg\left(\widehat{\mathbb{1}}_{D_{\text{pri}}}(1)\right) \leq \pi/p$ .

Call such a  $D_{\text{pri}}$  a *primary image* of  $D$ . Indeed, suppose that  $\rho(D) = |\widehat{\mathbb{1}}_D(\gamma)|$  for some non-zero  $\gamma \in \mathbb{Z}_p$ , and let  $\widehat{\mathbb{1}}_D(\gamma) = r'e^{\theta'i}$  for some  $r' > 0$  and  $0 \leq \theta' < 2\pi$ . (Note that we have  $r' > 0$  since  $p$  is prime.) Choose  $\ell \in \{0, \dots, p-1\}$  and  $-\pi/p < \phi \leq \pi/p$  such that  $\theta' = 2\pi\ell/p + \phi$ . Let  $D_{\text{pri}} := \gamma \cdot D + \ell$ . Then

$$|\widehat{\mathbb{1}}_{D_{\text{pri}}}(1)| = \left| \sum_{x \in D} \omega^{-\gamma x - \ell} \right| = |\omega^{-\ell} \widehat{\mathbb{1}}_D(\gamma)| = |\widehat{\mathbb{1}}_D(\gamma)| = \rho(D),$$

and

$$\arg\left(\widehat{\mathbb{1}}_{D_{\text{pri}}}(1)\right) = \arg(e^{\theta'i} \omega^{-\ell}) = 2\pi\ell/p + \phi - 2\pi\ell/p = \phi,$$

as required.

Let  $D \subseteq \mathbb{Z}_p$  have size  $a$  and write  $\widehat{\mathbb{1}}_D(1) = re^{\theta i}$ . Assume by the above that  $-\pi/p < \theta \leq \pi/p$ . For all  $j \in \mathbb{Z}_p$ , let

$$h(j) := \Re(\omega^{-j} e^{-\theta i}) = \cos\left(\frac{2\pi j}{p} + \theta\right),$$

where  $\Re(z)$  denotes the real part of  $z \in \mathbb{C}$ . Given any  $a$ -subset  $E$  of  $\mathbb{Z}_p$ , we have

$$H_D(E) := \sum_{j \in E} h(j) = \Re\left(e^{-\theta i} \sum_{j \in E} \omega^{-j}\right) = \Re\left(e^{-\theta i} \widehat{\mathbb{1}}_E(1)\right) \leq |\widehat{\mathbb{1}}_E(1)|. \quad (18)$$

Then

$$H_D(D) = \sum_{j \in D} h(j) = \Re(e^{-\theta i} \widehat{\mathbb{1}}_D(1)) = r = |\widehat{\mathbb{1}}_D(1)|. \quad (19)$$

Note that  $H_D(E)$  is the (signed) length of the orthogonal projection of  $\widehat{\mathbb{1}}_E(1) \in \mathbb{C}$  on the 1-dimensional line  $\{xe^{i\theta} \mid x \in \mathbb{R}\}$ . As stated in (18) and (19),  $H_D(E) \leq |\widehat{\mathbb{1}}_E(1)|$  and this is equality for  $E = D$ . (Both of these facts are geometrically obvious.) If  $|\widehat{\mathbb{1}}_D(1)| = m_1(a)$  is maximum, then no  $H_D(E)$  for an  $a$ -set  $E$  can exceed  $m_1(a) = H_D(D)$ . Informally speaking, the main idea of the proof is that if we fix the direction  $e^{i\theta}$ , then the projection length is maximised if we take  $a$  distinct elements  $j \in \mathbb{Z}_p$  with the  $a$  largest values of  $h(j)$ , that is, if we take some interval (with the runner-up being a punctured interval).

Let us provide a formal statement and proof of this now.

**Claim 14.** *Let  $\mathcal{I}_a$  be the set of length- $a$  intervals in  $\mathbb{Z}_p$ .*

- (i) *Let  $M_1(D) \subseteq \binom{\mathbb{Z}_p}{a}$  consist of  $a$ -sets  $E \subseteq \mathbb{Z}_p$  such that  $H_D(E) \geq H_D(C)$  for all  $C \in \binom{\mathbb{Z}_p}{a}$ . Then  $M_1(D) \subseteq \mathcal{I}_a$ .*
- (ii) *Let  $M_2(D) \subseteq \binom{\mathbb{Z}_p}{a}$  be the set of  $E \notin \mathcal{I}_a$  for which  $H_D(E) \geq H_D(C)$  for all  $C \in \binom{\mathbb{Z}_p}{a} \setminus \mathcal{I}_a$ . Then every  $E \in M_2(D)$  is a punctured interval.*

*Proof.* Suppose that  $0 < \theta < \pi/p$ . Then  $h(0) > h(1) > h(-1) > h(2) > h(-2) > \dots > h(\frac{p-1}{2}) > h(-\frac{p-1}{2})$ . In other words,  $h(j_\ell) > h(j_k)$  if and only if  $\ell < k$ , where  $j_m := (-1)^{m-1} \lceil m/2 \rceil$ . Letting  $J_{a-1} := \{j_0, \dots, j_{a-2}\}$ , we see that

$$H_D(J_{a-1} \cup \{j_{a-1}\}) > H_D(J_{a-1} \cup \{j_a\}) > H_D(J_{a-1} \cup \{j_{a+1}\}), H_D(J_{a-2} \cup \{j_{a-1}, j_a\}) > H_D(J)$$

for all other  $a$ -subsets  $J$ . But  $J_{a-1} \cup \{j_{a-1}\}$  and  $J_{a-1} \cup \{j_a\}$  are both intervals, and  $J_{a-1} \cup \{j_{a+1}\}$  and  $J_{a-2} \cup \{j_{a-1}, j_a\}$  are both punctured intervals. So in this case  $M_1(D) := \{J_{a-1} \cup \{j_{a-1}\}\}$  and  $M_2(D) \subseteq \{J_{a-1} \cup \{j_{a+1}\}, J_{a-2} \cup \{j_{a-1}, j_a\}\}$ , as required.

The case when  $-\pi/p < \theta < 0$  is almost identical except now  $j_\ell := (-1)^\ell \lceil \ell/2 \rceil$  for all  $0 \leq \ell \leq p-1$ . If  $\theta = 0$  then  $h(0) > h(1) = h(-1) > h(2) = h(-2) > \dots > h(\frac{p-1}{2}) = h(-\frac{p-1}{2})$ . If  $\theta = -\pi/p$  then  $h(0) = h(-1) > h(1) = h(-2) > \dots = h(-\frac{p-1}{2}) > h(\frac{p-1}{2})$ .  $\square$

We can now prove part (i) of the lemma. Suppose  $A \in \binom{\mathbb{Z}_p}{a}$  attains  $m_1(a)$  at  $\gamma \in \mathbb{Z}_p \setminus \{0\}$ . Then the primary image  $D$  of  $A$  satisfies  $|\widehat{\mathbb{1}}_D(1)| = m_1(a) = |\widehat{\mathbb{1}}_A(\gamma)|$ . So, for any  $E \in M_1(D)$ ,

$$|\widehat{\mathbb{1}}_A(\gamma)| = |\widehat{\mathbb{1}}_D(1)| \stackrel{(19)}{=} H_D(D) \leq H_D(E) \stackrel{(18)}{\leq} |\widehat{\mathbb{1}}_E(1)|,$$

with equality in the first inequality if and only if  $D \in M_1(D)$ . Thus, by Claim 14(i),  $D$  is an interval, and so  $A$  is affine equivalent to an interval, as required. Further, if  $A$  is an interval then  $D$  is an interval if and only if  $\gamma = \pm 1$ . This completes the proof of (i).

For (ii), note that  $m_2(a)$  exists since by Lemma 12, there is a subset (namely  $I'$ ) which is not affine equivalent to  $I$ . By (i), it does not attain  $m_1(a)$ , so  $\rho(I') \leq m_2(a)$ . Suppose now that  $B$  is an  $a$ -subset of  $\mathbb{Z}_p$  which attains  $m_2(a)$  at  $\gamma \in \mathbb{Z}_p \setminus \{0\}$ . Let  $D$  be the primary image of  $B$ . Then  $D$  is not an interval. This together with Claim 14(i) implies that  $H_D(D) < H_D(E)$  for any  $E \in M_1(D)$ . Thus, for any  $C \in M_2(D)$ , we have

$$m_2(a) = |\widehat{\mathbb{1}}_B(\gamma)| = |\widehat{\mathbb{1}}_D(1)| = H_D(D) \leq H_D(C) \leq |\widehat{\mathbb{1}}_C(1)|.$$

with equality in the first inequality if and only if  $D \in M_2(D)$ . Since  $C$  is a punctured interval, it is not affine equivalent to an interval. So the first part of the lemma implies that  $|\widehat{\mathbb{1}}_C(1)| \leq m_2(a)$ . Thus we have equality everywhere and so  $D \in M_2(D)$ . Therefore  $B$  is the affine image of a punctured interval, as required. Further, if  $B$  is a punctured interval, then  $D$  is a punctured interval if and only if  $\gamma = \pm 1$ . This completes the proof of (ii).  $\square$

We will now prove Theorem 3.

*Proof of Theorem 3.* Recall that  $p \geq 7$ ,  $a \in [3, p-3]$  and  $k > k_0(a, p)$  is sufficiently large with  $k \not\equiv 1 \pmod{p}$ . Let  $I = [a]$ . Given  $t \in \mathbb{Z}_p$ , write  $\rho_t := (\widehat{\mathbb{1}}_{I+t}(1))^k \widehat{\mathbb{1}}_{I+t}(1)$  as  $r_t e^{\theta_t i}$ , where  $\theta_t \in [0, 2\pi)$  and  $r_t > 0$ . Then (17) says that  $\theta_t$  equals  $-\pi(2t + a - 1)(k - 1)/p$  modulo  $2\pi$ . Increasing  $t$  by 1 rotates  $\rho_t$  by  $-2\pi(k - 1)/p$ . Using the fact that  $k - 1$  is invertible modulo  $p$ , we have the following. If  $(a - 1)(k - 1)$  is even, then the set of  $\theta_t$  for  $t \in \mathbb{Z}_p$  is precisely  $0, 2\pi/p, \dots, (2p - 2)\pi/p$ , so there is a unique  $t$  (resp. a unique  $t'$ )

in  $\mathbb{Z}_p$  for which  $\theta_t = \pi + \pi/p$  (resp.  $\theta_{t'} = \pi - \pi/p$ ). Furthermore,  $t' = -(a-1) - t$  and  $I + t' = -(I + t)$ ; thus  $I + t$  and  $I + t'$  have the same set of dilations. If  $(a-1)(k-1)$  is odd, then the set of  $\theta_t$  for  $t \in \mathbb{Z}_p$  is precisely  $\pi/p, 3\pi/p, \dots, (2p-1)\pi/p$ , so there is a unique  $t \in \mathbb{Z}_p$  for which  $\theta_t = \pi$ . We call  $t$  (and  $t'$ , if it exists) *optimal*.

Let  $t$  be optimal. To prove the theorem, we will show that  $F(\xi \cdot (I + t)) < F(A)$  (and so  $s_k(\xi \cdot (I + t)) < s_k(A)$ ) for any  $a$ -subset  $A \subseteq \mathbb{Z}_p$  which is not a dilation of  $I + t$ .

We will first show that  $F(I + t) < F(A)$  for any  $a$ -subset  $A$  which is not affine equivalent to an interval. By Lemma 13(i), we have that  $|\widehat{\mathbb{1}}_{I+t}(\pm 1)| = m_1(a)$  and  $\rho(A) \leq m_2(a)$ . Let  $m'_2(a)$  be the maximum of  $|\widehat{\mathbb{1}}_J(\gamma)|$  over all length- $a$  intervals  $J$  and  $\gamma \in [2, p-2]$ . Lemma 13(i) implies that  $m'_2(a) < m_1(a)$ . Thus

$$|F(I + t) - 2(m_1(a))^{k+1} \cos(\theta_t) - F(A)| \leq (p-1)(m_2(a))^{k+1} + (p-3)(m'_2(a))^{k+1}. \quad (20)$$

Now  $\cos(\theta_t) \leq \cos(\pi - \pi/p) < -0.9$  since  $p \geq 7$ . This together with the fact that  $k \geq k_0(a, p)$  and Lemma 13 imply that the absolute value of  $2(m_1(a))^{k+1} \cos(\theta_t) < 0$  is greater than the right-hand side of (20). Thus  $F(I + t) < F(A)$ , as required.

The remaining case is when  $A = \zeta \cdot (I + v)$  for some non-optimal  $v \in \mathbb{Z}_p$  and non-zero  $\zeta \in \mathbb{Z}_p$ . Since  $s_k(A) = s_k(I + v)$ , we may assume that  $\zeta = 1$ . Note that  $\cos(\theta_t) \leq \cos(\pi - \pi/p) < \cos(\pi - 2\pi/p) \leq \cos(\theta_v)$ . Thus

$$\begin{aligned} F(I + t) - F(I + v) &\leq 2(m_1(a))^{k+1}(\cos(\theta_t) - \cos(\theta_v)) + (2p-4)(m'_2(a))^{k+1} \\ &\leq 2(m_1(a))^{k+1}(\cos(\pi - \pi/p) - \cos(\pi - 2\pi/p)) + (2p-4)(m'_2(a))^{k+1} \\ &< 0 \end{aligned}$$

where the last inequality uses the fact that  $k$  is sufficiently large. Thus  $F(I + t) < F(I + v)$ , as required.  $\square$

Finally, using similar techniques, we prove Theorem 5.

*Proof of Theorem 5.* Recall that  $p \geq 7$ ,  $a \in [3, p-3]$  and  $k > k_0(a, p)$  is sufficiently large with  $k \equiv 1 \pmod{p}$ . Let  $I := [a]$  and  $I' = [a-1] \cup \{a\}$ .

Suppose first that  $a$  and  $k$  are both even. Let  $A \subseteq \mathbb{Z}_p$  be an arbitrary  $a$ -set not affine equivalent to the interval  $I$ . By Lemma 13,  $I$  attains  $m_1(a)$  (exactly at  $x = \pm 1$ ), while  $\rho(A) < m_1(a)$ . Also,  $m'_2(a) < m_1(a)$ , where  $m'_2(a) := \max_{\gamma \in [2, p-2]} |\widehat{\mathbb{1}}_I(\gamma)|$ . Thus

$$\begin{aligned} F(I) - F(A) &\stackrel{(14),(15)}{\leq} 2 \sum_{\gamma=1}^{\frac{p-1}{2}} (-1)^\gamma \left| \widehat{\mathbb{1}}_I(\gamma) \right|^{k+1} + \sum_{\gamma=1}^{p-1} \left| \widehat{\mathbb{1}}_A(\gamma) \right|^{k+1} \\ &\leq -2(m_1(a))^{k+1} + (2p-4)(\max\{m_2(a), m'_2(a)\})^{k+1} < 0, \end{aligned}$$

where the last inequality uses the fact that  $k$  is sufficiently large. So  $s_k(a) = s_k(I)$ . Using Lemma 13, the same argument shows that, for all  $B \in \binom{\mathbb{Z}_p}{a}$ , we have  $s_k(B) = s_k(a)$  if and only if  $B$  is an affine image of  $I$ . This completes the proof of Part 1 of the theorem.

Suppose now that at least one of  $a, k$  is odd. Let  $A$  be an  $a$ -set not equivalent to  $I$ . Again by Lemma 13, we have

$$\begin{aligned} F(I) - F(A) &\geq \sum_{\gamma=1}^{p-1} \left| \widehat{\mathbb{1}}_I(\gamma) \right|^{k+1} - \sum_{\gamma=1}^{p-1} \left| \widehat{\mathbb{1}}_A(\gamma) \right|^{k+1} \\ &\geq 2(m_1(a))^{k+1} - (p-1)(m_2(a))^{k+1} > 0. \end{aligned}$$

So the interval  $I$  and its affine images have in fact the largest number of additive  $(k+1)$ -tuples among all  $a$ -subsets of  $\mathbb{Z}_p$ . In particular,  $s_k(a) < s_k(I)$ .

Suppose that there is some  $A \in \binom{\mathbb{Z}_p}{a}$  which is not affine equivalent to  $I$  or  $I'$ . (If there is no such  $A$ , then the unique extremal sets are affine images of  $I'$  for all  $k > k_0(a, p)$ , giving the required.) Write  $\rho := re^{\theta i} = \widehat{\mathbb{1}}_{I'}(1)$ . Then by Lemma 13(ii), we have  $r = m_2(a)$ , and  $\rho(A) \leq m_3(a)$ . Given  $k \geq 2$ , let  $s \in \mathbb{N}$  be such that  $k = sp + 1$ . Then

$$\left| F(I') - 2m_2(a)^{k+1} \cos(sp\theta) - F(A) \right| \leq (p-1)m_3(a)^{k+1} + (p-3)(m'_2(a))^{k+1}. \quad (21)$$

Proposition 11 implies that there is an even integer  $\ell \in \mathbb{N}$  for which  $c := p\theta - \ell\pi \in (-\pi, \pi) \setminus \{0\}$ . Let  $\varepsilon := \frac{1}{3} \min\{|c|, \pi - |c|\} > 0$ . Given an integer  $t$ , say that  $s \in \mathbb{N}$  is  $t$ -good if  $sc \in ((t - \frac{1}{2})\pi + \varepsilon, (t + \frac{1}{2})\pi - \varepsilon)$ . This real interval has length  $\pi - 2\varepsilon > |c| > 0$ , so must contain at least one integer multiple of  $c$ . In other words, for all  $t \in \mathbb{Z} \setminus \{0\}$  with the same sign as  $c$ , there exists a  $t$ -good integer  $s > 0$ . As  $sp\theta \equiv sc \pmod{2\pi}$ , the sign of  $\cos(sp\theta)$  is  $(-1)^t$ . Moreover, Lemma 13 implies that  $m_2(a) > m_3(a), m'_2(a)$ . Thus, when  $k = sp + 1 > k_0(a, p)$ , the absolute value of  $2m_2(a)^{k+1} \cos(sp\theta)$  is greater than the right-hand side of (21). Thus, for large  $|t|$ , we have  $F(A) > F(I')$  if  $t$  is even and  $F(A) < F(I')$  if  $t$  is odd, implying the theorem by (14).  $\square$

## Acknowledgements

We are grateful to an anonymous referee for their careful reading of the manuscript and for many helpful comments.

## References

- [1] B. Bajnok, *Additive combinatorics: A menu of research problems*, CRC Press, Boca Baton, FL, 2018.
- [2] P. Erdős, *Extremal problems in number theory*, Proc. Sympos. Pure Math., Vol. VIII, Amer. Math. Soc., Providence, R.I., 1965, pp. 181–189.
- [3] B. Green and I. Z. Ruzsa, *Sum-free sets in abelian groups*, Israel J. Math. **147** (2005), 157–188.
- [4] S. Huczynska, G. L. Mullen, and J. L. Yucas, *The extent to which subsets are additively closed*, J. Combin. Theory Ser. A **116** (2009), 831–843.

- [5] E. Nazarewicz, M. O'Brien, M. O'Neill, and C. Staples, *Equality in Pollard's theorem on set addition of congruence classes*, Acta Arith. **127** (2007), 1–15.
- [6] J. M. Pollard, *Addition properties of residue classes*, J. Lond. Math. Soc. **11** (1975), 147–152.
- [7] W. Samotij and B. Sudakov, *The number of additive triples in subsets of Abelian groups*, Math. Proc. Camb. Phil. Soc. **160** (2016), 495–512.
- [8] A. Terras, *Fourier analysis on finite groups and applications*, London Mathematical Society Student Texts, vol. 43, Cambridge University Press, Cambridge, 1999.